THIRD PARTY PAYMENT DECLARATION FORM

(Please read the Third Party Payment Rules and Instructions carefully before completing this Form)

Distributor ARN & Name / RIA Code & Name	Sub-Broker Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification No.*	TIME STAMP HERE

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

Folio No.

NAME OF 1ST APPLICANT (Beneficial Investor)

Mr. / Ms. / M/s.

2. THIRD PARTY INFORMATION

Name Of Third Party (Person making the payment)

Mr. / Ms. / M/s

Nationality PAN / PEKRN

Name of Contact Person & Designation (In case of non-individual third party)

Mr. / Ms.

Designation

Mailing Address

City State

Country Pincode

Contact Details

Mobile No. Email ID

RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR [Please tick (✓) as applicable]

Status of the Beneficial Investor	☐ Minor	☐ FPI ☐ Client	☐ Employee(s)
Relationship of Third Party with the Beneficial Investor	☐ Father / Mother / Court appointed Legal Guardian (Please attach proof of relationship, if not already submitted)	Custodian - SEBI Registration No. of Custodian _____ Registration Valid Till _____	Employer
Declaration by Third Party	I / We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I / We declare that the payment is made on behalf of FPI/Client and the source of this payment is from funds provided to us by FPI/Client.	I / We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lump sum / one-time subscription, through Payroll Deductions.

3. THIRD PARTY OTHER DETAILS

3a. Status of Third Party Applicant [Please tick (✓)] ☐ Individual ☐ Non - Individual

☐ Resident Individual ☐ Minor ☐ NRI-NRE ☐ Public Ltd. Co. ☐ Sole Proprietor ☐ Insurance Co. ☐ Govt. Body
☐ Partnership Firm ☐ LLP ☐ NRI-NRO ☐ Mutual Fund ☐ Private Ltd. Co. ☐ AOP / BOI ☐ Banks
☐ Provident Fund ☐ Supperannuation / Pension Fund ☐ Gratuity Fund ☐ Financial Institution ☐ FPI ☐ HUF
☐ NPS Trust ☐ Defence Establishment ☐ FPI Category -I / II / III ☐ PIO ☐ Others _____

3b. Occupation Details [Please tick (✓)] ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional
☐ Housewife ☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others _____

3c. Gross Annual Income (₹) [Please tick (✓)] ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10- 25 Lacs ☐ >25 Lacs-1 Crore ☐ >1 Crore

c. Net-worth (Mandatory for Non-Individuals) ₹ as on D D M M Y Y Y Y (Not older than 1 year)

3d. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors)

☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

3e. Non-Individual Investors involved / providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services

☐ Money Lending / Pawning ☐ None of the above

4. THIRD PARTY PAYMENT DETAILS

Mode of Payment [Please (✓)]	Mandatory Enclosure(s)*
Cheque ☐	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
RTGS ☐ NEFT ☐ Fund Transfer ☐	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

* JioBlackRock Mutual Fund / Jio BlackRock Asset Management Private Limited (JioBlackRock AMC) reserves the right to seek information and / or obtain such other additional documents / information from the Third Party for establishing the identity of the Third Party.

Amount in figures ₹

Cheque / UTR No. Cheque / RTGS Date D D M M Y Y Y Y

Pay- in Bank Account No.

Name of the Bank

Bank Branch Bank City

Account Type [Please tick (✓)] ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ OTHERS _____ (please specify)

5. DECLARATIONS & SIGNATURE / S

a. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
b. JioBlackRock Mutual Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:

a. Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
b. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
c. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
d. Payment by a Corporate to its Agent /Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
e. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
   i. Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. Third party.
   ii. Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. Third party.
   iii. Verifying the source of funds to ensure that funds have come from the drawer's account only.
f. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, additional details such as Occupation, Gross Annual Income/Net Worth, and Politically Exposed Person (PEP) status, which were previously part of the uniform KYC form, will now be included in the JioBlackRock Mutual Fund application form. Additionally, details regarding the nature of services (e.g., Foreign Exchange, Gaming, Money Lending) for the first/sole applicant must be provided as part of the Client Due Diligence (CDD) process for JioBlackRock Mutual Fund.

   The said details are mandatory for both Individual and Non-Individual applicants. *PEP is defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

g. Investors are advised that any application for subscription to Units of the JioBlackRock Mutual Fund Schemes, accompanied by Third Party payments (except for the exceptional cases as described in Rule (b) above, is subject to rejection. This rejection will occur without any recourse to the Third Party or the applicant investor(s).

   The above-mentioned Third-Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website www.jioblackrockamc.com for any further information or updates on the same.

Applicable to NRIs / PIO / OCIs only:

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc. of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

Please (✓) ☐ Yes ☐ No

If yes, (✓) ☐ Repatriation basis

☐ Non-repatriation basis

Signature of the Third Party

BENEFICIAL INVESTOR(S) DECLARATION

I / We hereby confirm that the information provided herein by the Third Party is true and correct.

Applicable to Guardian receiving funds on behalf of Minor only:

I / We confirm that I / We are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme(s) on behalf of the minor.

Sign below as per mode of holding

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder

THIRD PARTY PAYMENT RULES

1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds / asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions

2a. The following words and expressions shall have the meaning specified herein:

(a) "Beneficial Investor" is the first named applicant / investor in whose name the application for subscription of Units is applied for with the Mutual Fund.

(b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.

(c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant / investor mentioned in the application form.

Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y.This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B.This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

2b. The Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation / declarations:

(i) Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.

(ii) Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.

(iii) Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.

(iv) Payment by a Corporate to its Agent/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.

(i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.

(ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.

(iii) Verifying the source of funds to ensure that funds have come from the drawer's account only.

2d. **In accordance with SEBI Circular No. CIR / MIRSD / 13 / 2013 dated December 26, 2013, the additional details** viz. Occupation details, Gross Annual Income / networth and Politically Exposed Person (PEP)* status mentioned under section 4 & 5 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange / Gaming / Money Lending, etc., (applicable for first / sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

**PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.*

2e. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of JioBlackRock Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website www.jioblackrockamc.com for any further information or updates on the same.