Prism SIF
Provided by Jio BlackRock

SYSTEMATIC TRANSFER PLAN

☐ Registration ☐ Cancellation

Please refer Key Information Memorandum, the instructions and product labelling before filling this form.

Distributor ARN & Name / RIA Code & Name	Sub-Broker Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification No.*	TIME STAMP HERE

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

Folio No.

INVESTOR DETAILS

Name of Investor

PAN / PEKRN ☐ KYC

SYSTEMATIC TRANSFER PLAN (STP) INVESTMENT STRATEGY DETAILS

From Investment Strategy **Prism** Plan Option

To Investment Strategy **Prism** Plan Option ☐ ☐

Minimum STP amount is ₹ 10,000/- and in multiples of ₹ 1/- thereafter.
Minimum transfer period is 6 STP transactions.

Frequency	☐ Weekly	☐ Monthly*	☐ Quarterly
Date / Day	☐ Monday* ☐ Tuesday ☐ Wednesday ☐ Thursday ☐ Friday	D D (Any Date Between 1st* - 31st)	D D (Any Date Between 1st* - 31st)
From M M Y Y Y Y To M M Y Y Y Y			
Amount in figures ₹			

(* Default Option / Date)

DECLARATION & SIGNATURES

I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stockbroker registered in the concerned folio, as applicable.

I / We have read, understood and agree to abide with the contents of Investment Strategy Information Document (ISID), Key Information Memorandum (KIM) of the above-mentioned Strategy and Statement of Additional Information (SAI) of Prism SIF provided by Jio BlackRock ("PRISM SIF") and the addendums issued thereto till date ('Investment Strategy Related Documents'), I hereby declare that the particulars given by me/us are correct and complete.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY PRISM SIF/ JIO BLACKROCK ASSET MANAGEMENT PRIVATE LIMITED ('AMC')

Sign below as per mode of holding

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder

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ACKNOWLEDGEMENT SLIP - STP APPLICATION FORM

☐ Registration ☐ Cancellation

Received, subject to verification and conditions.

Prism SIF provided by JioBlackRock

TIME STAMP HERE

Folio No.

Name of Investor

From Investment Strategy

To Investment Strategy

Email: service.prismsif@jioblackrockamc.com Website: **www.jioblackrockamc.com/prismsif**
Contact Center no.: **+91 22-3520 7700 / +91 22-6998 7700** (Monday-Friday, 9 am - 6 pm & Sat, 9 am - 1 pm)

TERMS & CONDITIONS AND INSTRUCTIONS

- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box , where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of our Registrar (CAMS).
- STP is a facility wherein unit holder(s) of designated open-ended investment strategy(s) of Prism SIF by Jio BlackRock (From Investment strategy) can opt to transfer a fixed amount at regular intervals to designated open-ended investment strategy) of Prism SIF by Jio BlackRock (To Investment strategy). The STP Facility is available only for units held / to be held in Non - demat Mode in both source and target investment strategy.
- One STP Enrolment Form can be filled for one Investment strategy only.
- Investors are advised to read the Key Information Memorandum (KIMs) and Investment Strategy Information Document(s) (ISIDs) of the 'From' Investment strategy(s) and Statement of Additional Information (SAI) carefully before investing. The ISID / KIMs of the respective Investment Strategy(s) and SAI are available at the Prism SIF website i.e. www.jioblackrockamc.com/prismsif.
- STP offers transfer facility at weekly, monthly and quarterly intervals.
- The minimum STP amount is Rs. 10,000/- and in multiples of Re.1 thereafter.
- The minimum STP instalments should be 6 (Six) instalments. STP will be subject to compliance with provisions mentioned under "Minimum Application Amount" under ISID
- Investor is free to opt for any of the Plans and choose the frequency of such transfers. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.
- In case there is no minimum amount available in the unit holder's account, the residual amount will be transferred to the Transferee Investment strategy and account will be closed. (Subject to minimum amount of Rs. 10,000/- in target investment strategy).
- If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date/ Day and the same will be considered for the purpose of determining the applicability of NAV.
- The application for enrolment of STP should be submitted at least 7 calendar Days before the desired commencement date.
- In case the Start Date is mentioned but End Date is not mentioned, the application will be registered for" Perpetual" period.
- In case the End Date is mentioned but Start Date is not mentioned, the application will be registered after expiry of 7 calendar days from submission of the application from the default date i.e. 1st of each month / quarter (or the immediately succeeding working Day), provided the minimum number of instalments are met.
- There will be no maximum duration for STP enrolment. However, STPs will be registered in a folio held by a minor, only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The STP facility will automatically stand terminated upon the Investor attaining 18 years of age.
- The load structure for the target investment strategy shall be applicable as on the date of installment and the AMC reserves the right to change the load structure which shall be applicable on a prospective basis.
- STP will be automatically terminated if all units are liquidated or withdrawn from the 'From' Investment strategy or pledged or upon receipt of intimation of death of the unit holder.
- Incorrect / Incomplete applications are liable to be rejected.
- This facility is not available for units which are under any lien /pledged or any lock-in period.
- The unitholders may approach/consult their tax consultants regarding the treatment of the transfer of units from the tax point of view.
- If there is inadequate balance on the STP date, the STP will be processed for the balance units and STP will continue. If there is nil balance on the STP date, the STP will be automatically terminated and there will not be any further trigger.
- The AMC/Prism SIF reserves the right to withdraw this facility, modify the procedure, frequency, dates and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
- The unitholders can, however, terminate the STP registration at any point of time by submitting a request at least 5 calendar days prior to the next due date of STP.

Default Details

- **Weekly STP:** In case of any ambiguity in selection of transfer day, the STP Day will be as 'Monday'.
- **Monthly & Quarterly STP:** In case of any ambiguity in selection of transfer dates, the STP date will be 1st of each month.
- **Default Frequency:** If investor fails to mention frequency the same shall be considered as 'Monthly' as default option.