Prism SIF
Provided by Jio | BlackRock

SIP REGISTRATION/RENEWAL FORM

Attention: No need to attach OTM Debit Mandate again, if already registered earlier.
Please refer Key Information Memorandum, the instructions and product labelling before filling this form.

Debit Mandate Checklist:

● Bank Account Number, Bank Name, IFSC or MICR Code ● Write Amount in words and in Figures (maximum limit) ● Your Name and Signature as in your bank account

Distributor ARN & Name / RIA Code & Name	Sub-Broker Code	Internal Code for Sub-Agent/Employee	Employee Unique Identification No.*	TIME STAMP HERE

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

Please tick (✓) as applicable:

☐ OTM Debit Mandate is already registered in the folio. ☐ OTM Debit Mandate is attached and to be registered in the folio.

Investor Name: Folio No.

1. INVESTMENT DETAILS FOR SIP (Please refer instructions for more details) PAN / PEKRN

Investment Strategy Name	**Prism**	**Prism**	**Prism**
Frequency	☐ Weekly _____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly	☐ Weekly _____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly	☐ Weekly _____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly
SIP Date (For Monthly & Quarterly) (1st*– 31st)	D D	D D	D D
Enrolment Period (MM/YY) (^If end period is not given, the end period of OTM Debit Mandate will apply.)	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs
SIP Amount in ₹			

SIP TOP-UP DETAILS (Please refer instructions for more details)

Frequency	☐ Half Yearly ☐ Yearly	☐ Half Yearly ☐ Yearly	☐ Half Yearly ☐ Yearly
Amount in ₹ OR Percentage (%)			
SIP Top-Up Cap Amount in ₹			

(* Default Option / Date)

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OTM Debit Mandate Form NACH

[Applicable for Lumpsum, Additional Purchases as well as SIP Registrations]

UMRN Office use only Date D D M M Y Y Y Y

Utility Code Office use only Tick (✓) ☑ CREATE ☐ MODIFY ☐ CANCEL

Sponsor Bank Code Office use only I/We hereby authorize: **Prism SIF**

to debit (tick ✓) ☐ **SB** ☐ **CA** ☐ **CC** ☐ **SB-NRE** ☐ **SB-NRO** ☐ **Other** _____ **Account No.**

With Bank Bank Name & Branch IFSC / MICR

an amount of Rupees In Words ₹ In Figures

Debit Type ☒ Fixed Amount ☑ Maximum Amount **FREQUENCY** ☒ Daily ☒ Weekly ☒ Bi - Monthly ☒ Monthly ☒ Quarterly ☒ Half-Yearly ☒ Annually ☑ As and When presented

Reference 1 Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood and made by me/us. 3. I am authorizing the user entity/corporate to debit the account, based on the instructions as agreed and signed by me. 4. I / We have understood that I / we are authorised to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorised the debit. 5. I/We understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

From D D M M Y Y Y Y
to D D M M Y Y Y Y
Maximum period of validity of this mandate is 40 years only.

1.	2.	3.
Signature of Primary Account Holder	Signature of Account Holder	Signature of Account Holder
Name as in bank records	Name as in bank records	Name as in bank records

P.T.O

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ACKNOWLEDGEMENT SLIP - SIP APPLICATION

Received, subject to verification and conditions.

Prism SIF provided by JioBlackRock

TIME STAMP HERE

Folio No. PAN / PEKRN

Name of Investor

Investment Strategy Name

First SIP transaction via single cheque no. favouring 'Prism SIF' Dated D D M M Y Y Y Y

☐ Use existing One Time Mandate (To be filled in case of more than one OTM registration)

Bank Name:

Account No.

2. UNIT HOLDING OPTION: (Enclose Latest Client Master / Demat Account Statement)

To transfer units in Demat mode, please fill in the details below; otherwise, units will be in physical mode by default.

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the demat account.

NSDL	DP ID I N	Beneficiary Account No.	
CDSL	Beneficiary Account No.		

3. DECLARATION AND SIGNATURES

I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stockbroker registered in the concerned folio, as applicable.

I / We have read, understood and agree to abide with the contents of Investment Strategy Information Document (ISID), Key Information Memorandum (KIM) of the above-mentioned Strategy and Statement of Additional Information (SAI) of Prism SIF provided by Jio BlackRock ("PRISM SIF") and the addendums issued thereto till date ('Investment Strategy Related Documents'), I hereby declare that the particulars given by me/us are correct and complete.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY PRISM SIF/ JIO BLACKROCK ASSET MANAGEMENT PRIVATE LIMITED ('AMC')

Sign below as per mode of holding

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder

INSTRUCTIONS FOR ONE TIME MANDATE (NACH) FORM

1. Please fill the OTM form in **English**, using **BLOCK LETTERS** only. If you already have a registered OTM, you do not need to submit the form again.
2. Investors who have not registered for the OTM facility can submit the form with a cancelled cheque (original or copy) with the investor's name and account number pre-printed, or a bank verification letter on the bank's letterhead with complete account details. Incomplete or unverifiable documentation may lead to rejection.
3. Provide the full bank account number and bank name. The first holder in the folio must be one of the holders in the bank account. The form must be signed by all bank account holders as per the mode of operation in the bank records. Signatures must match those recorded with the bank.
4. Please mention the mandate amount in both words and figures.
5. Mention the start date of the mandate and the end date. As per NPCI guidelines, the end date cannot exceed 40 years from the start date.
6. The 9-digit MICR or the 11-digit IFSC is a mandatory requirement without which OTM will be rejected.
7. The UMRN, the Sponsor Bank Code and the Utility Code are for official use only and need not be filled by the investors.
8. Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.
9. Investor can transact using this mandate, within the limit of maximum amount and tenure specified.
10. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.

Email: service.prismsif@jioblackrockamc.com Website: **www.jioblackrockamc.com/prismsif**
Contact Center no.: **+91 22-3520 7700 / +91 22-6998 7700** (Monday-Friday, 9 am - 6 pm & Sat, 9 am - 1 pm)

SIP INSTRUCTIONS / TERMS & CONDITIONS

1. Existing investors need not submit a new Common Application Form and may register for SIP by mentioning their existing folio number.
2. Please fill the form in English and in block letters. Tick the relevant boxes wherever applicable.
3. The completed SIP form should be submitted at any Official Points of Acceptance (OPA) of AMC/ RTA
4. Investors should clearly mention the preferred SIP frequency (weekly, monthly, or quarterly) in the form.
5. Minimum investment for Accredited Investor is 1 Lakh and Others is 10 Lakh.

   If the minimum investment threshold of Rs.10 lakh at a PAN level across all investment strategies of Prism SIF is met, then the minimum amount for Systematic Investment Plan (SIP): Rs.10,000 and in multiples of Re. 1/- thereafter. Please refer ISID for minimum SIP investment amount under each investment strategy.
6. SIP can be started without an accompanying purchase.
7. For registration of a SIP, the duly completed SIP form must be submitted at least 21 calendar days prior to the desired start date if a One Time Mandate (OTM) is not registered, and at least 10 calendar days prior in case an OTM is already registered. If the selected start date does not meet the mentioned timelines, the AMC will make reasonable efforts to process the SIP as per the investor's request. However, if that is not possible, the SIP will begin from the subsequent instalment date as per the selected frequency.
8. The initial / first SIP investment amount, if provided, can be different from the subsequent SIP amount provided the initial / first SIP investment amount also confirms to the minimum SIP amount criteria of the respective investment strategy.
9. Cheques should be drawn payable at locations of Jio BlackRock Asset Management Private Limited branches & authorised centres / RTA locations. Non MICR / outstation post-dated cheques will not be accepted for SIP.
10. The units shall be allotted based on the day on which funds are credited to Prism SIF collection account by the service provider/ bank.
11. The investor has the right to discontinue SIP at any time by submitting a request at least 2 business days prior to next instalment date to any of the offices of Jio BlackRock Asset Management Private Limited or its Authorized Centres.
12. SIP registration will be discontinued if three consecutive instalments fail in case of Weekly or Monthly SIPs, or two consecutive instalments fail in case of Quarterly SIPs, due to any reason.
13. In case any details are left blank/illegible in the SIP form, the AMC may register the SIP using the applicable default options as specified in the Statement of Additional Information (SAI).
14. In case of investments on behalf of a minor, guardian details must be provided. The SIP will be registered only up to the date the minor attains majority, irrespective of the end date mentioned. For complete details, investors are requested to refer to the section 'Investments on behalf of Minor' in SAI.
15. If multiple bank accounts are registered under the OTM facility, the investor must clearly specify the bank name and account number from which the debit is to be initiated for the SIP. In the absence of such specification, or if a valid OTM is not available for the mentioned bank account, the AMC reserves the right to initiate the debit from any of the registered OTMs or reject the request.

SIP Top-Up Facility:

1. **Top-Up Options:** Investors can opt for SIP Top-Up either in terms of a fixed amount or a percentage increase to the SIP instalment.
   - Minimum of Rs. 1,000 and in multiples of Rs. 1,000
   - Minimum in Percentage: 10%, and in multiples of 5% thereafter.

2. **Top-Up Frequency:**
   - Half-Yearly: SIP instalment amount will increase by the specified amount or percentage after every 6th instalment.
   - Yearly: SIP instalment amount will increase by the specified amount or percentage after every 12th instalment.

   Note: For Quarterly SIPs, only the Yearly Top-Up option is available.

3. **Default:**
   - If frequency or amount is not specified, the Top-Up will default to Yearly and Rs. 1,000.
   - If both frequency and amount are not specified, the SIP will be treated as a normal SIP (without Top-Up), subject to the form being otherwise complete.
   - If both percentage and amount are specified, then percentage will be considered for SIP Top-Up.

4. **Maximum Cap Amount:**
   - Top-Up will continue until each SIP instalment reaches the stated Maximum Cap Amount, after which the SIP amount remains constant until the end date.
   - If the Maximum Cap Amount is not mentioned, Top-Up will continue as per the selected frequency/amount, subject to the OTM limit.
   - If there's a mismatch between the OTM maximum amount and the Cap Amount, the lower of the two will be considered as the Cap Amount.

Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.