SIP Registration/Renewal Form

Attention: No need to attach OTM Debit Mandate again, if already registered earlier.

Distributor ARN & Name / RIA Code & Name	Sub-Broker Code	Employee Unique Identification No.*	TIME STAMP HERE

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

Debit Mandate Checklist:

- Bank Account Number, Bank Name, IFSC or MICR Code
- Write Amount in words and in Figures (maximum limit)
- Your Name and Signature as in your bank account

Please tick (✓) as applicable:

☐ OTM Debit Mandate is already registered in the folio. ☐ OTM Debit Mandate is attached and to be registered in the folio.

Investor Name: Folio No.

1. INVESTMENT DETAILS FOR SIP (Please refer instructions for more details) PAN / PEKRN

Scheme Name	JioBlackRock Plan Option/Sub-option	JioBlackRock Plan Option/Sub-option	JioBlackRock Plan Option/Sub-option
Frequency	☐ Weekly ____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly	☐ Weekly ____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly	☐ Weekly ____ (Any day between Monday* to Friday) ☐ Monthly* ☐ Quarterly
SIP Date (For Monthly & Quarterly) (1st*– 31st)	D D	D D	D D
Enrolment Period (MM/YY) (^If end period is not given, the end period of OTM Debit Mandate will apply.)	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs	From M M Y Y Y Y To^ M M Y Y Y Y **OR** ☐ 40 yrs ☐ 10 yrs ☐ 7 yrs ☐ 5 yrs
SIP Amount in ₹			

SIP TOP-UP DETAILS (Please refer instructions for more details)

Frequency	☐ Half Yearly ☐ Yearly	☐ Half Yearly ☐ Yearly	☐ Half Yearly ☐ Yearly
Amount in ₹ OR Percentage (%)			
SIP Top-Up Cap Amount in ₹			

(* Default Option / Date)

OTM Debit Mandate Form NACH

[Applicable for Lumpsum, Additional Purchases as well as SIP Registrations]

UMRN: Office use only Date: D D M M Y Y Y Y

Utility Code: Office use only Tick (✓) ☑ CREATE ☐ MODIFY ☐ CANCEL

Sponsor Bank Code: Office use only I/We hereby authorize: **Jio BlackRock Mutual Fund**

to debit (tick ✓) ☐ **SB** ☐ **CA** ☐ **CC** ☐ **SB-NRE** ☐ **SB-NRO** ☐ **Other** ________ **Account No.**

With Bank: Bank Name & Branch IFSC / MICR

an amount of Rupees: In Words ₹ In Figures

Debit Type ☒ Fixed Amount ☑ Maximum Amount **FREQUENCY** ☒ Daily ☒ Weekly ☒ Bi - Monthly ☒ Monthly ☒ Quarterly ☒ Half-Yearly ☒ Annually ☑ As and When presented

Reference 1 Reference 2

1. I agree for the debit of mandate processing charges by the bank whom I am authorising to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood and made by me/us. 3. I am authorizing the user entity/corporate to debit the account, based on the instructions as agreed and signed by me. 4. I / We have understood that I / we are authorised to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorised the debit. 5. I/We understand and accept that in case transaction/s initiated against this mandate (within valid period of mandate) is/are rejected due to insufficient funds or such other reason as permitted under applicable law, action may be taken against me/us under applicable law (including Negotiable Instruments Act).

From D D M M Y Y Y Y

to D D M M Y Y Y Y

Maximum period of validity of this mandate is 40 years only.

1. Signature of Primary Account Holder 2. Signature of Account Holder 3. Signature of Account Holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

P.T.O

ACKNOWLEDGEMENT SLIP - SIP APPLICATION

Received, subject to verification and conditions.

JioBlackRock Mutual Fund

TIME STAMP HERE

Folio No. PAN / PEKRN

Name of Investor

Scheme Name

First SIP transaction via single cheque no. ____________ favouring 'Jio BlackRock Mutual Fund' Dated D D M M Y Y Y Y

☐ Use existing One Time Mandate (To be filled in case of more than one OTM registration)

Bank Name:

Account No.

2. DECLARATION AND SIGNATURES

I / We have read, understood and agree to abide with the contents of Scheme Information Document (SID), Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date ('Scheme Related Documents'),I hereby declare that the particulars given by me/us are correct and complete.

Sign below as per mode of holding

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder

INSTRUCTIONS FOR ONE TIME MANDATE (NACH) FORM

1. Please fill the OTM form in **ENGLISH**, using **BLOCK LETTERS** only. If you already have a registered OTM, you do not need to submit the form again.
2. Investors who have not registered for the OTM facility can submit the form with a cancelled cheque (original or copy) with the investor's name and account number pre-printed, or a bank verification letter on the bank's letterhead with complete account details. Incomplete or unverifiable documentation may lead to rejection.
3. Provide the full bank account number and bank name. The first holder in the folio must be one of the holders in the bank account. The form must be signed by all bank account holders as per the mode of operation in the bank records. Signatures must match those recorded with the bank.
4. Please mention the mandate amount in both words and figures.
5. Mention the start date of the mandate and the end date. As per NPCI guidelines, the end date cannot exceed 40 years from the start date.
6. The 9-digit MICR or the 11-digit IFSC is a mandatory requirement without which OTM will be rejected.
7. The UMRN, the Sponsor Bank Code and the Utility Code are for official use only and need not be filled by the investors.
8. Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.

Email: **service@jioblackrockamc.com** Website: **www.jioblackrockamc.com**

Contact Center no.: **+91 22-3520 7700 / +91 22-6998 7700** (Monday-Friday, 9 am - 6 pm & Sat, 9 am - 1 pm)

SIP INSTRUCTIONS / TERMS & CONDITIONS

1. New investors should submit this SIP Enrolment Form along with the Common Application Form (CAF).
2. Existing investors need not submit a new Common Application Form and may register for SIP by mentioning their existing folio number.
3. Please fill the form in English and in block letters. Tick the relevant boxes wherever applicable.
4. The completed SIP form should be submitted at any Official Points of Acceptance (OPA) of JioBlackRock AMC/ RTA
5. Investors should clearly mention the preferred SIP frequency (weekly, monthly, or quarterly) in the form.
6. The minimum SIP amount is Rs. 500, in multiples of Re. 1 thereafter, with at least 6 instalments.
7. SIP can be started without an accompanying purchase.
8. For registration of a SIP, the duly completed SIP form must be submitted at least 21 calendar days prior to the desired start date if a One Time Mandate (OTM) is not registered, and at least 10 calendar days prior in case an OTM is already registered. If the selected start date does not meet the mentioned timelines, the JioBlackRock AMC will make reasonable efforts to process the SIP as per the investor's request. However, if that is not possible, the SIP will begin from the subsequent instalment date as per the selected frequency.
9. The initial / first SIP investment amount, if provided, can be different from the subsequent SIP amount provided the initial / first SIP investment amount also confirms to the minimum SIP amount criteria of the respective scheme.
10. Cheques should be drawn payable at locations of Jio BlackRock Asset Management Private Limited branches & authorised centres / RTA locations. Non MICR / outstation post-dated cheques will not be accepted for SIP.
11. The units shall be allotted based on the day on which funds are credited to Mutual Fund collection account by the service provider/ bank.
12. The investor has the right to discontinue SIP at any time by submitting a request at least 2 business days prior to next instalment date to any of the offices of Jio BlackRock Asset Management Private Limited or its Authorized Centres.
13. SIP registration will be discontinued if three consecutive instalments fail in case of Weekly or Monthly SIPs, or two consecutive instalments fail in case of Quarterly SIPs, due to any reason.
14. In case any details are left blank/illegible in the SIP form, the JioBlackRock AMC may register the SIP using the applicable default options as specified in the Statement of Additional Information (SAI).
15. As per SEBI guidelines, individuals and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* may invest up to Rs. 50,000 in a rolling 12-month period or financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). *For complete information, please refer section in SAI (Micro Investments /PAN Exempt Investments) and section in CAF (Permanent Account Number (PAN)).
16. In case of investments on behalf of a minor, guardian details must be provided. The SIP will be registered only up to the date the minor attains majority, irrespective of the end date mentioned. For complete details, investors are requested to refer to the section 'Investments on behalf of Minor' in SAI.
17. If multiple bank accounts are registered under the OTM facility, the investor must clearly specify the bank name and account number from which the debit is to be initiated for the SIP. In the absence of such specification, or if a valid OTM is not available for the mentioned bank account, the JioBlackRock AMC reserves the right to initiate the debit from any of the registered OTMs or reject the request.

DIRECT APPLICATIONS AND EUIN

- If the investor wishes to invest directly, i.e. without involving the services of any agent or broker or distributor, "DIRECT" should be mentioned in the space provided for "ARN Number" in the application form. Any subsequent change/updation/removal of broker code will be based on the written request/authentication from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.
- Investor/s have the provision to specify in the application / transaction form the unique identity number (EUIN) of the employee/relationship manager/ salesperson of the distributor interacting with the investor/s for the sale of Mutual Funds products, along with AMFI Registration Number (ARN) of the distributor and sub-distributor ARN (if applicable).
- Investors are hereby requested to note the following with respect to EUIN and ARN:

  a. AMFI has allotted EUIN to all the salesperson of AMFI registered distributors.

  b. Investor/s shall specify the valid ARN code, sub-distributor ARN (if applicable) and the valid EUIN of the sub-distributor /salesperson in the application/transaction form. This will assist in handling the complaints of mis-selling if any, even if the salesperson on whose advice the transaction was executed leaves the employment of the distributor.

  c. Investors are requested to use the new application /transaction forms which have space for sub-broker ARN code and EUIN.

  d. If EUIN is not mentioned in the application form, it will be assumed as Execution Only transaction.

  e. In cases of wrong/ invalid/ incomplete ARN, any purchase or switch-in or SIP & STP registration shall be processed under Direct Plan or rejected depending on the mode of the transaction.

  Invalid ARN: Invalid ARN includes an ARN whose validity period has expired, an ARN that has been cancelled /terminated or suspended, an ARN holder who is deceased, cases involving a nomenclature change (as required pursuant to SEBI (Investment Advisers) Regulations, 2013) that has not been complied with by the Mutual Fund Distributor ('MFD'), an MFD that has been debarred by SEBI, an ARN that is not present in the AMFI ARN database, or an ARN not empanelled with AMC.

Please refer to the table below for the treatment of transactions associated with distributor code.

Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
1	Not mentioned	Not mentioned	Direct Plan
2	Not mentioned	Direct	Direct Plan
3	Not mentioned	Regular	Direct Plan
4	Mentioned	Direct	Direct Plan
5	Direct	Not mentioned	Direct Plan
6	Direct	Regular	Direct Plan
7	Mentioned	Regular	Regular Plan
8	Mentioned	Not Mentioned	Regular Plan

f. In case of transactions received from the stock exchange platforms or through any other online platforms with invalid ARN, then the transaction shall be rejected instead of processing under Direct Plan.

g. In case the EUIN is invalid / missing, the transaction shall be processed in Regular / Existing plan and the distributor / investor shall be given the period of 30 days from the date of transaction for remediation of EUIN and investor shall provide either different EUIN linked to the ARN or switch to Direct Plan.

h. Investment in Direct plan through stockbroker/non-individual IA/PMS: In case SEBI Registered stockbrokers/non-individual Investment Advisors/Portfolio Managers are offering advisory service to their clients, they can execute/invest only in direct plans of JioBlackRock Mutual Fund schemes for that client using their SEBI Registration Numbers as Broker/RIA/PMS code. Accordingly, they will have visibility of their client's transaction data feeds only for such plans.

The distributor of a product under a Mutual Fund shall also have to pass such other certification as may be directed by SEBI/AMFI from time to time.

SIP Top-Up Facility:

1. Top-Up Options: Investors can opt for SIP Top-Up either in terms of a fixed amount or a percentage increase to the SIP instalment.
   - Minimum in Amount: Rs. 50 and in multiples of Rs. 50 thereafter.
   - Minimum in Percentage: 10%, and in multiples of 5% thereafter.

2. Top-Up Frequency:
   - Half-Yearly: SIP instalment amount will increase by the specified amount or percentage after every 6th instalment.
   - Yearly: SIP instalment amount will increase by the specified amount or percentage after every 12th instalment.

   Note: For Quarterly SIPs, only the Yearly Top-Up option is available.

3. Default:
   - If frequency or amount is not specified, the Top-Up will default to Yearly and Rs. 50.
   - If both frequency and amount are not specified, the SIP will be treated as a normal SIP (without Top-Up), subject to the form being otherwise complete.
   - If both percentage and amount are specified, then percentage will be considered for SIP Top-Up.

4. Maximum Cap Amount:
   - Top-Up will continue until each SIP instalment reaches the stated Maximum Cap Amount, after which the SIP amount remains constant until the end date.
   - If the Maximum Cap Amount is not mentioned, Top-Up will continue as per the selected frequency/amount, subject to the OTM limit.
   - If there's a mismatch between the OTM maximum amount and the Cap Amount, the lower of the two will be considered as the Cap Amount.

5. SIP Top-Up facility will not be available for Micro SIP.

   Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.