NEW FOLIO APPLICATION FORM
(For Individuals Only)

Distributor ARN & Name / RIA Code & Name	Sub-Broker Code	Employee Unique Identification No.*	TIME STAMP HERE

*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #I/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

1. APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

1st Applicant Name

PAN / PEKRN | CKYC KIN No. (If Available) | DOB D D M M Y Y Y Y

Name of Guardian (if 1st Applicant is minor) / POA Holder

PAN / PEKRN | CKYC KIN No. (If Available) | DOB D D M M Y Y Y Y

Relationship with Minor ☐ Natural guardian ☐ Court appointed guardian (Attach Relationship proof with Minor)

Mobile No. | Email ID

Mobile No. provided pertains to: Please tick (✓)
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings
☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents
☐ Guardian ☐ PMS ☐ Custodian ☐ POA

2. STATUS OF 1st APPLICANT (Please tick ✓)

☐ Resident Individual ☐ Minor ☐ NRI-NRE ☐ NRI-NRO ☐ PIO ☐ Sole Proprietor ☐ Others_____

3. CORRESPONDENCE ADDRESS OF 1st APPLICANT (As per KYC records) (NRI Investors should mention their overseas address)

City | State

Country | Pincode

Type of address given at KRA ☐ Residential ☐ Business ☐ Registered Office

4. BANK ACCOUNT (PAY-OUT) DETAILS OF 1st APPLICANT

Bank Name | **Please provide Bank Account details proof.**

Account No. | Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others_____

11 Digit IFSC | Branch City

5. MODE OF OPERATION Please tick (✓) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

JOINT APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

2nd Applicant Name

PAN / PEKRN | CKYC KIN No. (If Available) | DOB D D M M Y Y Y Y

Mobile No. | Email ID

Mobile No. provided pertains to: Please tick (✓)
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings
☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)
☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents
☐ Guardian ☐ PMS ☐ Custodian ☐ POA

ACKNOWLEDGEMENT SLIP - NEW FOLIO APPLICATION FORM
(For Individuals Only)
Received, subject to verification and conditions.

JioBlackRock Mutual Fund

TIME STAMP HERE

PAN

Name of Investor

3rd Applicant Name

PAN / PEKRN | CKYC KIN No. (If Available) | DOB D D M M Y Y Y Y

Mobile No. | Email ID

Mobile No. provided pertains to: Please tick (✓)
☐Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings
☐Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)
☐Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents
☐Guardian ☐ PMS ☐ Custodian ☐ POA

6.OTHER INFORMATION Please tick (✓)

	1st Applicant / Guardian	2nd Applicant	3rd Applicant
Politically Exposed Person [PEP]	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP
Occupation (Please ✓)	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others _____	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others _____	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others _____
Gross Annual Income in ₹ (Please ✓)	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.

7. FATCA & CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor)

	1st Applicant / Guardian	2nd Applicant	3rd Applicant
Place / City of Birth			
Country of Birth			
Nationality			
Are you a tax resident in any other country outside India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries

	Country of Tax Residency	**Tax Identification Number or Functional Equivalent**	**Identification Type** (TIN or other please specify)	**If TIN is not available please tick (✓) the reason A, B or C (as defined below)**
1st Applicant / Guardian				Reason: ☐ A ☐ B ☐ C
2nd Applicant				Reason: ☐ A ☐ B ☐ C
3rd Applicant				Reason: ☐ A ☐ B ☐ C

Reason A - The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.

Reason B - No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)

Reason C - Others, please state the reason thereof:

On providing email-id investors shall receive the scheme-wise annual report or an abridged summary account statements / statutory and other documents by email. However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof (Please tick (✓) Opt-in ☐)

Email: **service@jioblackrockamc.com** Website: **www.jioblackrockamc.com**
Contact Center no.: **+91 22-3520 7700 / +91 22-6998 7700** (Monday-Friday, 9 am - 6 pm & Sat, 9 am - 1 pm)

8. NOMINATION DETAILS:

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)

	Mandatory Details			Optional Details				
	Name of nominee	Date of birth of nominee (if minor)	Relationship	Where Nominee is a minor, Guardian Name	Mobile Number*	E-mail ID*	Personal Identifier Document***	Share of Nominee (%) **
1								
2								
3								
	In case of each Minor as Nominee, please mention Guardian's relationship with Minor as Mother/Father/Legal Guardian.							Total 100%

* The aforesaid details shall be optionally provided for the Guardian, in case the nominee is a minor. Please note that the MF / RTA will be able to reach out to your nominee if you provide the contact details.

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division /fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI/ PIO, Passport number is acceptable. Please note that the MF / RTA will be able to reach out to your nominee if you provide the details.

I / We want the details of my / our nominee to be printed in the statement of holding, provided to me/ us by the JioBlackRock AMC as follows; (please tick (✓), as appropriate)

☐ Name of nominee(s) **OR** ☐ Nomination Registered: (If neither option or both options are selected, the default will be set to Nomination Registered)

☐ **OPT-OUT declaration**: I / We, the undersigned applicant(s) / unitholder(s) hereby confirm that I / we do not wish to appoint any nominee(s) in respect of the mutual fund application(s) / units held in my / our mutual fund folio(s) mentioned here in below :

I / We understand that - (i)the nomination helps to quickly identify the person for transfer of securities and helps in faster and smoother transmission of my securities to my legal heir(s) after my demise. (ii) in the absence of a nomination, my legal heir(s) may require the submission of certain additional legal or court-issued documents which may delay the process of transmission of securities to my legal heir(s). (iii) if no claim is made on the account / folio for a prolonged period after my demise, the holdings may be treated as unclaimed assets and they may be transferred to Investor Education and protection Fund Authority (IEPF) in accordance with the applicable regulatory framework. I confirm that I have understood the above implications and that my decision to opt out of nomination is voluntary.

Wet signature (signature of witness shall not be required). However, if thumb impression (instead of wet signature) is affixed, then the same shall be witnessed by two persons and details of such witnesses (name and address) shall be duly provided in this form.

Witness 1 - Name & Address	Witness 2 - Name & Address
Witness 1 - Signature	Witness 2 - Signature

9. DECLARATION & SIGNATURE(S)

☐ I/We hereby consent for providing transaction data feed, portfolio holdings, NAV and related information to such registered intermediary, distributor, RIA, Portfolio Manager, Stockbroker or other authorized person associated with the folio and transaction, wherever applicable. Investors providing Email Id would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email. (Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email.)

I/ We hereby give consent to the AMC / MF to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I/We understand that any future investments under this folio shall be made only after reviewing and understanding the relevant scheme related documents including Scheme Information Document (SID), Key Information Memorandum (KIM) and Statement of Additional Information (SAI), as applicable at the time of investment and confirm my / our eligibility to invest in the Scheme as per the Scheme Related Documents and I / We confirm that I am / We are not barred by any legal authority. I / We confirm that all information provided is true and complete and will promptly inform the AMC/Fund/RTA of any change and provide additional details, if required. I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC. I/We accept full responsibility for any loss that can be caused to the AMC/Fund/Trustee/ Sponsors and their officers, directors and employees due to false or incomplete information provided by me/us and agree to indemnify against all related claims, losses or disputes regarding my/our transactions in future. I/We have not received nor been induced by any rebate or gifts, directly or indirectly. I/We authorize sharing of my/our aforementioned information, including updates, with the MF, AMC, RTA, SEBI registered intermediaries, third party service providers and Indian or foreign authorities, subject to applicable laws, without prior notice to me / us. I/We acknowledge and accept the FATCA/CRS declarations and undertake to comply with applicable tax and regulatory obligations. I/We hereby provide consent for uploading/updating/fetching CKYC record from Central KYC Records Registry
I/We consent to the AMC/MF for collecting, storing, and using my/our personal information for processing this application, delivering subscribed services and fulfilling legal and regulatory obligations.

APPLICABLE FOR NRIs/PIOs/FPIs/OCIs (FOR PROSPECTIVE INVESTMENTS ON REPATRIATION BASIS): I/We confirm that any future investments will be made from funds remitted through approved banking channels.

Sign below as per mode of holding

1st Holder/Guardian	2nd Holder	3rd Holder	POA Holder

CHECKLIST

Please ensure that your Application Form is complete in all respect and signed by all applicants. Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

Sr. No.	Documents	Individuals/ Sole Proprietary Firms	NRI/OCI/PIO	Minor	Investments through Constituted Attorney
1	Valid KYC status of Investor/ Guardian	●	●	●	●
2	FATCA & CRS	●	●	●	●
3	PIO / OCI Card (as applicable)		●		
4	Date of Birth proof of Minor*			●	
5	Relationship proof with Guardian			●	
6	Notarised Power of Attorney				●
7	Nomination	●	●		
8	Documentary proof evidencing the sole proprietary firm and proprietor	●			
9	Bank Details Proof# (Cancelled cheque leaf /Copy of Bank statement / Copy of Bank Passbook) should not be older than 3 months	●	●	●	●

*Birth certificate, School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., Passport, or any other suitable proof evidencing the date of birth of the minor.
#Attach Bank proof with name & account number pre-printed or copy should be produced for verification.

Instructions / Terms & Conditions

1. General Instructions

- Investors are requested to read the Key Information Memorandum (KIM), Scheme Information Document (SID), Statement of Additional Information (SAI), and addenda issued from time to time (collectively referred to as the Scheme Documents) carefully before investing in the Scheme.
- Application Forms complete in all respects, may be submitted at any Official Points of Acceptance (OPA) of Jio BlackRock Asset Management Private Limited. For locations, please visit www.jioblackrockamc.com.
- The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick the relevant options wherever applicable. Do not overwrite. Any correction or change made in the Application Form should be countersigned by the investor(s).
- Investors may write their PAN / Folio Number on the reverse side of the accompanying cheques.
- Applications incomplete in any respect are liable to be rejected. Jio BlackRock Asset Management Private Limited (the AMC) / Jio BlackRock Trustee Private Limited (Trustee) have absolute discretion to reject any such Application Forms.
- Copies of the documents submitted should be accompanied by originals for verification. In case originals are not produced, the AMC/Trustee reserves the right to seek attested copies of the relevant documents.
- Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.

2. Investors Details

- Name should be given in full without any abbreviations as per PAN/ PEKRN only, for the First, Second, Third Holder(s), POA, Nominee and Guardian.
- Please provide a valid email ID & mobile number along with family declaration. This will enable effective communication regarding investment-related updates and help resolve queries promptly.
- As mandated by SEBI, investors are required to provide the following information viz. Occupation details, Gross Annual Income / Networth and Politically Exposed Person (PEP)* status. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole investor) is required to be provided as part of Client Due Diligence (CDD) process of the Fund. These details are mandatory for both Individual and Non-Individual investors.

  *Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials. PEPs shall include the family members or close relatives / associates of PEPs.
- In the event the application has more than one investor, the mode of holding should be specified. In case it is not mentioned, the default mode for holding will be "Anyone or Survivor".
- Investors must indicate their tax status by ticking the appropriate checkbox. If no status is selected or an incorrect status is provided, the AMC reserves the right to determine the correct status based on available information such as PAN or bank account details or such other information of the investor available with the AMC. The AMC shall not be responsible for any claims made by the investor/third party on account of updation of tax status. If "Others" is selected, the specific nature of the status must be clearly mentioned in the space provided.

3. Permanent Account Number (PAN)

- SEBI has made it mandatory for all investors (including joint holders) to mention the PAN details irrespective of the amount of investment.
- Where the investor is a minor, PAN details of parent / legal guardian, as the case must be submitted.
- The requirement to provide PAN is exempt for the following categories of investors, subject to fulfillment of prescribed conditions and submission of requisite documents:
  - Micro Investments: Individuals (including NRIs but excluding PIOs), minors, and sole proprietors investing up to Rs. 50,000 in a rolling 12-month period or in a financial year per mutual fund, through lump sum or SIP mode. Investors must complete KYC through a valid KYC acknowledgment or confirmation. *Refer section Micro Investments (PEKRN) for more information.*
  - Residents of the State of Sikkim are also exempt from the requirement of PAN for investing in mutual funds, subject to submission of proof of address evidencing their status as a Sikkim resident and fulfillment of KYC documentation requirements as prescribed by SEBI/AMFI.
  - Investments by Central and State Government Officials Appointed by Courts: Includes entities such as Official Liquidators, Court Appointed Receivers, etc., investing on behalf of Government or under directions of the Court. These entities must submit valid identity and address proof along with documentary evidence of such appointment.
  - Transactions by UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India, subject to submission of valid documentation evidencing the nature of the entity and the purpose of investment.

  The AMC reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed. No Redemption and related transaction(s) will be processed.

4. Micro Investments (PEKRN)

- As per SEBI guidelines, individuals and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* may invest up to Rs. 50,000 in a rolling 12-month period or financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA).
- Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form.
- In case the investor is a minor, PEKRN details of the Guardian shall be submitted, as applicable.
- Eligible Investors must not possess a PAN at the time of submission of application form.
- Eligible investors must hold only one PEKRN issued by any one of the KRAs.
- If an application for investment together within investments made in a rolling 12-month period or financial year exceeds Rs. 50,000, such an application will be rejected. New / Additional Purchase and Systematic Investment Plans (SIP) will be covered in the limit of Rs. 50,000.
- Investors may subsequently switch their investments to other Schemes of the Mutual Fund. However, where the switch amount is Rs. 50,000 or more, the investor is required to furnish a copy of their self-attested PAN along with valid KYC compliance. In absence of these, the switch request is liable to be rejected, in accordance with extant Income Tax regulations and SEBI guidelines.
- The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.

5. Who cannot invest?

- Overseas Corporate Bodies, as defined under the Foreign Exchange Management Act, 1999.
- Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.
- United States Person (U.S. person*) as defined under the extant laws of the United States of America, except the following:
  a. NRIs/PIOs may invest/transact, in the Scheme, when physically present in India, as lump sum subscription, redemption and/or switch transaction, including registration of systematic transactions upon submission of such additional documents/undertakings, etc., as may be stipulated by

AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.

b. FPIs may invest in the Scheme as lump sum subscription and/or switch transaction through submission of physical form in India, subject to compliance with all applicable laws and regulations and the terms, conditions, and documentation requirements stipulated by the AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.

The Trustee/AMC reserves the right to put the transaction requests received from such U.S. person on hold or reject the transaction request and redeem the units, if allotted, as the case may be, as and when identified by the Trustee / AMC that the same is not in compliance with the applicable laws and/or not fulfilled the terms and conditions stipulated by Trustee/AMC from time to time. Such redemptions will be subject to applicable taxes and exit load, if any.

The application form(s) for transactions (in non-demat mode) from such U.S. person will be accepted ONLY at the Investor Service Centres (ISCs) of Jio BlackRock Asset Management Private Limited.

- A person who is resident of Canada

*The term "U.S. person" means any person that is a U.S. person within the meaning of Regulations under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislations, rules etc, as may be in force from time to time.

The Fund reserves the right to include / exclude new / existing categories of investors who can invest in the Scheme from time to time, subject to SEBI Regulations and other prevailing statutory regulations, as applicable.

The AMC/ Trustee shall not be liable for any loss or expenses incurred in respect of those transaction requests/allotted units which have been kept on hold or rejected or reversed.

6. Investment on behalf of Minors

- The minor shall only be the sole Unit holder in a folio. Joint holding is not allowed.
- Details of the parent viz., father or mother or legal Guardian must be mentioned for investments made on behalf of a minor.
- A valid birth certificate or passport or School Leaving Certificate or any other valid document issued by a Government authority evidencing the date of birth of the minor.
- In case of a court-appointed legal guardian, a notarized or attested copy of the court order must also be submitted.
- If the submitted date of birth proof contains details establishing relationship between the guardian and the minor, the same shall be accepted. In the absence of such details, appropriate documents evidencing the relationship must be provided.

For more details, please refer to SAI.

7. Bank Details

i. Bank Account Details (For redemption/ IDCW if any):

- Investors must provide the details of the pay-out bank account held in India (i.e. account into which redemption / IDCW proceeds, if any, are to be paid), including the 11-digit Indian Financial System Code (IFSC), in the application form at the time of purchase of units. The same is mandated to be provided under SEBI Regulations.
- In case pay-out bank account is different from pay-in bank account (i.e., bank account from which subscription payment is being made), the investor is required to submit any one of the following as documentary proof along with the application form validating that pay-out bank account pertain to the sole / first holder.

a. Cancelled original cheque leaf of the pay-out bank account (where the account number and first holder name are printed on the face of the cheque). Investors should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;

b. Self-attested copy of the bank passbook or a statement of bank account with current entries not older than 3 months having the name and address of the first holder and account number;

c. A letter from the bank on its letterhead certifying that the investor maintains an account with the bank, the bank account information like bank account number, bank branch, account type, & IFSC.

Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at any Official Points of Acceptance (OPA) of JioBlackRock Mutual Fund (the "Fund")

- Documents submitted will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the investor after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number.
- Further, in exceptional cases where Third Party Payments are accepted, the investor is required to submit any one of the documentary proofs as stated in a, b and c above for the pay-out bank account.
- Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes.
- Investors are requested to note that applications for new folio creation submitted (wherein pay-out bank details are different from pay-in bank details) without any of the above-mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected.

8. Investor Communication

- Investors should ensure that the email address and mobile number provided is that of First / Sole holder or of their Family member. Family means spouse, dependent children, Dependant Sibling or dependent parents and a guardian in case of a minor. Option to indicate if the contact information belongs to PMS, Custodian and Power Of Attorney has also been provided.
- The email address and mobile number provided shall be registered in the folio for all official communications from the AMC / RTA.
- Where the email id, mobile number are not provided or where provided but the same is found to be invalid or seems to be not pertaining to the investor or any of the immediate family member or is of an advisor or any other agency, then AMC/ RTA reserves the right to remove the email id, mobile number without any notice. Alternatively, the AMC reserves the right to update email id, mobile number from KYC records of SEBI designated KYC Registration Authority (KRA). .
- Submission of an email address and mobile number shall be treated as the investor's consent to receive allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode. These documents shall be sent physically in case the Unit holder opts/request for the same.
- Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly intimate the Fund about the same to enable the Fund to make the delivery through alternate means.

It is deemed that the investor is aware of all security risks including possible third-party interception of the documents and contents of the documents becoming known to third parties. Subject to Regulations, The AMC / Trustee reserves the right to send any communication in physical mode.

9. Unit Holding Option

Investors are provided two options to hold their Units viz. Physical mode and Demat mode.

i. Physical mode (non-demat)

- Units will be held in account statement mode and a Statement of Account (SOA) will be issued to the investors by the AMC / RTA.
- Investors who wish to convert their holdings to Demat at a later stage may do so by submitting a Dematerialisation Request Form (DRF) through their DP.

ii. Demat mode

- Investors opting to hold Units in dematerialised (Demat) mode must provide valid DP ID and Client ID details of their Depository Participant (DP) account with NSDL or CDSL.
- The names of the investors must match with those in the Demat account.
- Units shall be directly credited to the investor's demat account after the realization of payment funds and depositories will issue a statement.

  Please attach a copy of the Client Master Form / DP statement showing active demat account details for verification.
- Upon units being allotted in demat mode, all details such as address, bank details, nomination etc. will be applicable as available in the depositories' records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable. Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL.
- Holding / transacting of units held in demat mode shall be in accordance with the procedures / requirements laid down by the Depositories, viz. NSDL/ CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder. Once the units are allotted in demat mode, no account statement / capital gain statement/or any other statements can be issued from JioBlackRock Mutual Fund / RTA to the investors.
- In case the demat details mentioned in the application are incomplete / incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat mode i.e. in physical mode if the application is otherwise valid and a Statement of Account (SOA) will be issued.

10. Nomination

- As per SEBI guidelines, it is mandatory for individual investors, except jointly held folios to either:
  - Provide nominee details, or
  - Opt out of nomination by submitting a duly signed declaration.
- The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
  - 'Either or Survivor' Folios - any one of the holder can sign
  - 'Jointly' Folios – all holders have to sign
  - 'First holder' Folios – only First holder to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee may be provided.
- Investors shall mandatorily provide the following:
  - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable
  - full contact details of nominee(s) such residential address, e-mail address, telephone / mobile number
  - relationship of nominee(s) with the investor
  - Date of birth of nominee(s) (if nominee is a minor)
- Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.
- Investors can provide, changes or cancel nominations any number of times.
- The signature of all the joint holders shall be obtained for providing / changing nomination regardless of mode of operations.
- This nomination shall supersede any prior nomination made by the investor(s), if any.
- Investors can change nomination any number of times.
- Investor have the right to receive acknowledgement of the nomination form for each instance of nomination / subsequent change.

  For complete details about nomination, please refer to SAI available at www.jioblackrockamc.com.

11. Power of Attorney (POA)

- In case of application under POA by an Individual, the relevant POA or the resolution should specifically provide for / authorize the POA holder / authorized signatory to make application/ invest on behalf of the investor.
- The application form must be accompanied by the original or a certified true copy/duly notarized copy of Power of Attorney.

12. Signatures

- Signature(s) should be in English or in any Indian Language specified in the eighth schedule of the constitution of India.
- If the application has more than one holder, all holders are required to sign the application form, regardless of the mode of holding (e.g., "Anyone or Survivor" or "Joint"). Applications that are not signed by all holders are liable to be rejected.
- Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Applications on behalf of minors should be signed by their parent/legal Guardian.
- In case of an application through a constituted Attorney, the Power of Attorney should be signed by the investor and the constituted Attorney. The Application Form should be signed in such cases by such constituted Attorney.

13. Prevention of Money Laundering

- SEBI vide its Master circular on Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated June 6, 2024, as amended from time to time, has mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework on anti-money laundering measures and also to adopt a Know Your Customer (KYC) policy.
- The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.
- To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.
- The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.
- For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website www.jioblackrockamc.com.

14. Know Your Customer (KYC) Compliance

i. KYC Registration Agency (KRA):

Units held in account statement (non-demat) mode

- KYC (Know Your Customer) norms are mandatory for ALL holders including Guardian and POA for making investments in Mutual Funds, irrespective of the amount of investment. In case of non-individuals, each Ultimate Beneficial Owner (UBO) has to be KYC compliant.

- SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. New investors are therefore requested to use the Common KYC application form and carry out the KYC process including In Person Verification (IPV) with any SEBI registered intermediaries. The KYC Application Forms are also available on our website www.jioblackrockamc.com.
- Existing KYC compliant investors need not undergo the same process again with the Fund, subject to validation of KYC compliance status. However, the Fund/AMC reserves the right to carry out new KYC of the investor at its discretion.
- In case of non-compliance with KYC requirements or if the KYC status is found to be invalid, the Fund/AMC reserves the right to reject/reverse the transaction and refund the investment amount

Units held in demat mode

- For units held in demat mode, the KYC performed by the Depository Participant of the investors will be considered as KYC verification done by the Trustee / AMC. In the event of non-compliance of KYC requirements, the Trustee/AMC reserves the right to reject the application and refund the subscription amount / effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any and freeze the folio of the investor(s).
- For further details, please refer Section 'Know Your Customer (KYC) Compliance' under Statement of Additional Information available on our website www.jioblackrockamc.com

ii. Central KYC Records Registry **(CKYCR)**:

- Investors, who have already completed CKYC process & have obtained KIN can quote their 14-digit unique KYC identifier KIN in the application form. For more details about CKYCR, please refer to SAI.

15. Details under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

- The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all investors. In relevant cases, information will have to be reported to tax authorities / appointed agencies.
- Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.
- Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- If you have any questions about your tax residency, please contact your tax advisor.
- Further, if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number (TIN) or any other relevant reference ID/ Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.