



KEY INFORMATION MEMORANDUM

JioBlackRock Nifty 50 ETF

(An open-ended scheme replicating / tracking the Nifty 50 Index)

Investment objective	Scheme Risk-o-meter	Benchmark Risk-o-meter Nifty 50 Index (TRI)
JioBlackRock Nifty 50 ETF Passive investment in equity and equity related securities replicating the composition of Nifty 50 Index, subject to tracking errors. There is no assurance that the investment objective of the Scheme will be achieved.	 The risk of the scheme is Very High	 The risk of the benchmark is Very High

Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on an internal assessment of the Scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

Offer for Sale of Units at Rs. 12.50 as on the date of allotment for applications received during the New Fund Offer ("NFO") period and at intra-day NAV based on the actual execution price of the underlying securities (along with applicable charges) during the Ongoing Offer for applications directly received at AMC.

New Fund Offer Opens on: August 04, 2026

New Fund Offer Closes on: August 11, 2026

Scheme Re-opens on: within five business days of allotment date

Name of Mutual Fund	:	Jio BlackRock Mutual Fund (referred as 'JioBlackRock Mutual Fund')
Name of Asset Management Company	:	Jio BlackRock Asset Management Private Limited (referred as 'JioBlackRock AMC')
Name of Trustee Company	:	Jio BlackRock Trustee Private Limited (referred as 'JioBlackRock Trustee')
Addresses, Website of the entities	:	Unit No. 1301, 13 th Floor, Altimus Building, Plot No. 130, Worli Estate, Pandurang Budhkar Marg, Worli, Mumbai – 400018, Maharashtra, India.

Website: www.jioblackrockamc.com

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. **For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.jioblackrockamc.com.**

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated July 27, 2026.

Investment objective	Passive investment in equity and equity related securities replicating the composition of Nifty 50 Index, subject to tracking errors. There is no assurance that the investment objective of the Scheme will be achieved.		
Scheme code	JBMF/O/O/EET/26/07/0015		
Asset Allocation Pattern of the scheme	This Scheme tracks the Nifty 50 Index.		
	Under normal circumstances, the indicative asset allocation shall be as follows:		
	Instruments	Indicative allocations (% of total assets)	
		Minimum	Maximum
	Equity and equity related securities of companies comprising the Nifty 50 Index	95%	100%
	Debt and Money Market Instruments (Including units of Mutual Funds)	0%	5%
Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)			
Sl. No	Type of Instrument	Percentage of exposure	Circular References
1	Securities Lending	a. Upto 20% of the net assets b. Upto 5% of the net assets at single intermediary i.e. broker level	Clause 13.6 of SEBI Master Circular for Mutual Funds dated March 20, 2026
2	Equity Derivatives (Non-hedging)	Upto 20% of the net assets of the Scheme	Clause 8.5, 8.6 and 13.15 of SEBI Master Circular for Mutual Funds dated March 20, 2026
3	Mutual Fund Units	The Scheme may invest in units of debt and money market schemes of JioBlackRock Mutual Fund and/or any other mutual fund subject to the overall limit of upto 5% of the net asset value of the mutual fund.	Clause 3 of Sixth Schedule of SEBI Mutual Fund Regulation and Clause 13.14 of Master Circular for Mutual Funds dated March 20, 2026
4	Repo/Reverse Repo in Corporate Debt Securities	Upto 5% of the net assets of the Scheme	Clause 13.8 of SEBI Master Circular for Mutual Funds dated March 20, 2026
5	Tri-party Repo (TREPS) on Government Securities or Treasury Bills	Upto 5% of the net assets The exposure to TREPS may exceed the limit at the time of building up the portfolio of the Scheme post New Fund Offer and also pending deployment of new inflows received in the Scheme on an ongoing basis or	-

		on account of any adverse market situation	
6	Short Term Deposits of Scheduled Commercial Banks	Upto 15% of net assets of all scheduled commercial banks put together and up to 10% of net assets in single scheduled commercial bank. Pending deployment of funds of the Scheme in securities in terms of the investment objective of the Scheme.	Clause 13.7 of SEBI Master Circular for Mutual Funds dated March 20, 2026

The Scheme will not invest / engage in the following:

Sl. No.	Type of Instrument
1	Debt instruments having Structured Obligations / Credit Enhancements;
2	Debt Derivatives;
3	Overseas Securities;
4	Credit Default Swaps;
5	Short Selling;
6	Securitized Debt;
7	Units of Infrastructure Investment Trusts (InvITs);
8	Unlisted debt instrument;
9	Unrated debt and money market instruments (except G-Secs, T-Bills and other money market instruments);
10	Bespoke or complex debt products;
11	Securities with special features (AT1 and AT2 Bonds);
12	Inter scheme transactions;

- As per Clause 13.6 of SEBI Master Circular for Mutual Funds dated March 20, 2026, as amended from time to time, the Scheme may engage in securities lending subject to a maximum of 20% in aggregate, of the net assets of the Scheme and 5% of the net assets of the Scheme in the case of a single intermediary.
- As per Clause 8.5, 8.6 and 13.15 of SEBI Master Circular for Mutual Funds dated March 20, 2026, investment in Equity Derivatives shall be upto 20% of net assets of the Scheme for non-hedging purpose.
- As per Clause 3 of the Sixth Schedule of SEBI (Mutual Funds) Regulations, 2026 read with Clause 13.14 of SEBI Master Circular for Mutual Funds dated March 20, 2026, the Scheme

may invest in debt and money market scheme(s) under the same AMC or any other mutual fund without charging any fees, provided that aggregate inter-scheme investment made by all Schemes under the same AMC or in Schemes under the management of any other asset management shall not exceed 5% of the net asset value of the Mutual Fund. Further, the Scheme shall not invest in any fund of funds scheme.

- In line with Clause 13.8.2 of SEBI Master Circular for Mutual Funds dated March 20, 2026, the investment in Repo / Reverse Repo in Corporate debt securities (listed AA and above rated corporate debt securities and Commercial Papers (CPs) and Certificate of Deposits (CDs)) shall be up to 5% of the net assets of the Scheme.
- The Scheme may invest in Tri-party Repo on Government Securities or Treasury Bills upto 5% of the net assets of the Scheme. The exposure to TREPS may exceed the limit at the time of building up the portfolio of the Scheme post New Fund Offer and also pending deployment of new inflows received in the Scheme on an ongoing basis or on account of any adverse market situation.
- Pending deployment of funds of the Scheme in securities in terms of the investment objective of the Scheme as stated above, the funds of the Scheme may be invested in short term deposits of scheduled commercial banks in accordance with Clause 13.7 of SEBI Master Circular for Mutual Funds dated March 20, 2026.
- The Fund Manager would monitor the Tracking Error of the Scheme on an ongoing basis and would seek to minimize the Tracking Error. Under normal circumstances, the AMC shall endeavor that the Tracking Error of the Scheme based on past one year rolling data shall not exceed 2%. There can be no assurance or guarantee that the Scheme will achieve any particular level of Tracking Error relative to performance of the underlying index.
- Tracking Difference shall be targeted to be 50 bps (over and above actual TER charged). In case the same is not maintained, it will be brought to the notice of Trustees along with corrective actions taken by the AMC, if any.
- In line with para 7.24.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026; deployment of the funds garnered in an NFO shall be made within 30 business days from the date of allotment of units. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee. The Investment Committee, after examining the root cause for delay, may extend the timeline by 30 business days. In case the funds are not deployed as per the asset allocation mentioned above and as per the aforesaid mandated plus extended timelines, the AMC shall comply with the provisions mentioned under clause (f) of para 7.24.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026.

Portfolio Concentration Norms:

In accordance with para 4.3 of SEBI Master Circular for Mutual Funds dated March 20, 2026, as amended from time to time, the underlying index shall comply with the portfolio concentration norms as prescribed.

Short Term Defensive Considerations:

Subject to SEBI (Mutual Fund) Regulations, the investment pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute and that they can vary substantially depending upon the perception of the Fund Manager, the intention being at all times to seek to protect the interests of the investors. As per clause 1.9.1(b) of SEBI Master Circular for Mutual Funds dated March 20, 2026, as may be amended from time to time, such changes in the investment pattern will be for short term and for defensive consideration only. Such changes in the investment pattern will be rebalanced within 7 calendar days from the date of deviation and further action may be taken as specified by SEBI/AMFI from time to time.

Portfolio Rebalancing:

Pursuant to para 4.5.5. of SEBI Master Circular for Mutual Funds dated March 20, 2026, in case of change in constituents of the index due to periodic review, the portfolio of the Scheme will be rebalanced within 7 calendar days, or such other timeline as may be prescribed by SEBI from time to time.

Further, any transactions undertaken in the portfolio of the Scheme in order to meet the redemption and subscription obligations shall be done ensuring that post such transactions replication of the portfolio with the index is maintained at all points of time.

Calculation of Cumulative Gross Exposure

The cumulative gross exposure through equity, equity derivatives, debt and money market instruments, units of mutual fund, repo/ reverse repo transactions and such other securities/assets as may be permitted by the SEBI from time to time, subject to regulatory approvals if any, shall not exceed 100% of the net assets of the Scheme as per Clause 13.18 of SEBI Master Circular for Mutual Funds dated March 20, 2026.

Pursuant to clause 13.18.6.(a) of SEBI Master Circular for Mutual Funds dated March 20, 2026 read with SEBI Letter No. SEBI/HO/ IMD-II/DOF3/OW/P/2021/31487/1 dated November 3, 2021, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. Cash Equivalent shall consist of the following securities having residual maturity of less than 91 days: a) Government Securities; b) T-Bills; and c) Repo on Government securities.

Numerical Example of Risk Involved**Index Futures:**

Example (For illustration purpose only)

a) Spot Index: 1070

1 month Nifty Future Price on day 1: 1075

Scheme buys 1000 lots

Each lot has a nominal value equivalent to 200 units of the underlying index

Let us say that on the date of settlement, the future price = Closing spot price = 1085

Profits for the Scheme = $(1085-1075) \times 1000 \text{ lots} \times 200 = \text{Rs. } 20,00,000$

b) Spot Index: 1070

1 month Nifty Future Price on day 1: 1075

Scheme buys 1000 lots

Each lot has a nominal value equivalent to 200 units of the underlying index

Let us say that on the date of settlement, the future price = Closing spot price = 1065

Loss for the Scheme = $(1065-1075) \times 1000 \text{ lots} \times 200 = - \text{Rs. } 20,00,000$

The net impact for the scheme will be in terms of the difference between the closing price of the index and cost price (ignoring margins for the sake of simplicity). Thus, it is clear from the example that the profit or loss for the scheme will be the difference of the closing price (which can be higher or lower than the purchase price) and the purchase price. The risks associated with index futures are similar to the one with equity investments. Additional risks could be on account of illiquidity and hence mispricing of the future at the time of purchase.

	Disclosure Related to the extent and manner of participation in Derivatives The Scheme may take an exposure to equity derivatives of underlying index (stock/ index futures) for short duration when securities of the index are unavailable, insufficient or for rebalancing at the time of change in index or in case of corporate actions, as permitted subject to rebalancing within 7 calendar days (or as specified by SEBI from time to time). The exposure of the Scheme in equity derivative instruments shall be up to 20% (for non-hedging) of the net assets of the Scheme.
Investment Strategy	The Scheme is passively managed fund employing an investment strategy that seeks to generate returns that are commensurate with the performance of the Nifty 50 Index, subject to tracking errors. The Scheme seeks to achieve this goal by investing in the securities constituting the Nifty 50 Index in the same proportion as in the Index. The Scheme will mainly invest in securities comprising the underlying index. However, due to changes in the underlying index, the scheme may temporarily hold securities not included in the index. For instance, the portfolio may contain securities not part of the underlying index due to reconstitution, addition, deletion, etc. These investments outside the underlying index will be rebalanced within 7 calendar days. A part of the fund's corpus may be invested in instruments like Debt securities and Money Market Instruments, units of mutual fund. Such investments will be as per the limits in the asset allocation table of the Scheme, subject to permissible limits specified under SEBI (MF) Regulations. <u>Risk Control</u> The Scheme aims to track the Nifty 50 Index before expenses. The index will be monitored regularly, and any changes to the constituents or their weights will be replicated in the scheme's portfolio to minimize tracking errors. Being a passive investment, the Scheme carries less risk compared to active fund management. The portfolio will follow the index, resulting in stock concentration and volatility levels similar to those of the index, subject to tracking errors. Consequently, there will be minimal additional volatility and stock concentration due to fund manager decisions. The fund manager will aim to keep cash levels minimal to control tracking errors. The Risk Mitigation strategy focuses on reducing tracking error through regular portfolio rebalancing, considering changes in the weights of stocks in the underlying index and the incremental inflows into/redemptions from the Scheme. While these strategies are expected to mitigate risk to a major extent, the AMC provides no assurance that these risks will be completely eliminated. Derivatives Strategy The Scheme may take exposure to derivative instruments on underlying index (stock/ index futures) for short durations when the underlying securities are unavailable, insufficient, or when rebalancing is required due to changes in the index or due to corporate actions, as permitted by SEBI/RBI regulations. Such exposure to derivatives will be rebalanced within seven calendar days. Any such exposure taken will align with the investment objective and overall strategy of the Scheme. Derivative products are leveraged instruments that can yield disproportionate gains or losses. Execution of such strategies depends on the fund manager's ability to identify opportunities. The identification and execution of strategies involve uncertainty, and the fund manager's decisions may not always be profitable. The AMC gives no assurance that the fund manager will be able to identify or execute such strategies.

	The risks associated with derivatives are different from, and possibly greater than, those associated with direct investments in securities and other traditional investments. Portfolio Turnover As the Scheme will follow a passive investment strategy the endeavor will be to minimize portfolio turnover subject to the exigencies and needs of the scheme. Generally, as the scheme is open-ended, turnover will be confined to rebalancing of portfolio on account of new subscriptions, redemptions and change in the composition of the Nifty 50 Index. Consequently, it is difficult to estimate with any reasonable measure of accuracy, the likely turnover in the portfolio. A higher churning of the portfolio could attract high transactions of the nature of brokerage, custody charges etc.
Risk Profile of the Scheme	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific risk factors are summarized below: The Scheme will be investing in equity and equity related instruments, fixed income securities. The Scheme is also subjected to risk factors associated with Tri-Party Repo, Repo in Corporate Debt, Mutual Fund Schemes, segregated portfolio and Passive Breach of Investment Limits as detailed in the SID. For details on risk factors and risk mitigation measures, please refer SID.
Plans / Options	The Scheme does not offer any Plans/Options for investment. The AMC may introduce further Plan/s and Option/s in future, subject to SEBI (MF) Regulations.
Applicable NAV (after the scheme opens for subscriptions and redemptions)	In case of Purchase / Redemption directly with Mutual Fund (By Market Makers/Authorized Participants and Large Investors): Direct transaction with AMC shall be for investors only for transactions above Rs. 25 crore. The aforesaid threshold shall not be applicable for Market Makers/ Authorized Participants. All direct transactions in units of ETFs by Market Makers/Authorized Participants or other large investors (as mentioned above) with AMCs shall be at intra-day NAV based on the actual execution price of the underlying index (along with applicable charges). The requirement of “cut-off” timing shall not be applicable for direct transaction with AMCs in ETFs by Market Makers/Authorized Participants and other large investors. Redemption of units directly with the Mutual Fund (other than Market Maker / Authorized Participants): Investors can directly approach the AMC for redemption of units of ETFs, for transactions of upto Rs. 25 Crores without any exit load, in case of the following scenarios: - Traded price (closing price) of the ETF units is at discount of more than 1% to the day end NAV for 7 continuous trading days, or - No quotes for such ETFs are available on stock exchange(s) for 3 consecutive trading days, or - Total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days. Under these circumstances, investors, as specified above, can redeem units of the Scheme directly with the fund house without any exit load. Applications received from investors for redemption up to 3.00 p.m. on any trading day, shall be processed at the closing NAV of the day. The above instances shall

	be tracked by the AMC on a continuous basis and in case if any of the above mentioned scenario arises, the same will be disclosed on the website of AMC.
Minimum Application Amount / Number of Units	<u>During NFO:</u> Minimum Application Amount (Lumpsum): Rs. 500/- and in multiples of Re. 1/- thereof. Units will be allotted in whole figures and the amount for the fractional units will be refunded. <u>On a continuous basis:</u> On the Stock Exchange(s): All categories of investors may buy/sell the Units of the ETF through NSE and BSE on which the units of the ETF are proposed to be listed, on any trading day in round lot of One (1) Units and multiples thereof at the prevailing listed price. Directly from the Mutual Fund: i. Market maker (MM) / Authorized Participants (AP) - MM/AP can directly purchase/redeem units with the Fund on all business days in "Creation Unit Size" at intraday NAV based on the actual execution price of the underlying index (along with applicable charges). The limit of ₹ 25 crores or such other amount as may be specified by SEBI from time to time is not applicable for Market Makers. ii. Large Investor(s): Large Investor can directly purchase / redeem from the Fund on all business days in "Creation unit size" at intraday NAV based on the actual execution price of the underlying index (along with applicable charges), subject to the value of transaction being greater than the threshold of ₹ 25 crores or such other amount as may be specified by SEBI from time to time. The purchase of Units of the Scheme in Creation Unit Size will be allowed both by means of exchange of Portfolio Deposit and by cash. Additionally, transaction handling charges, if any, will have to be borne by Authorised Participants / Market Makers, Large Investors. Note: Allotment of units will be done after deduction of applicable stamp duty and statutory levies. Further, as the units will be allotted in lot size of 1 unit, the balance amount, if any, shall be refunded to the investor. Creation unit size: 2,40,000 Units and multiples thereof. The Creation Unit size may be changed by the AMC at their discretion and the notice of the same shall be published on website of the Mutual Fund. The Creation Unit is made up of 2 components i.e. Portfolio Deposit and Cash Component. The Portfolio Deposit will be determined by the Fund as per the weights of each security in the Underlying Index. The value of this Portfolio Deposit may change due to change in prices during the day. No switch-in requests will be accepted under the Scheme.
Dispatch of Redemption Request	Redemption proceeds shall be transferred within 3 business days from the date of the redemption request. In case of delay beyond 3 business days, the AMC is liable to pay interest to the investors at the rate of 15% per annum. However, in case of exceptional circumstances prescribed by AMFI vide its communication no. AMFI/ 35P/ MEMCOR/ 74 / 2022-23 dated January 16, 2023 read with clause 15.3.3 of SEBI Master Circular for Mutual Funds dated March 20, 2026, the redemption or repurchase proceeds will be transferred to investors within the timeframe prescribed for such exceptional circumstances.
Benchmark Index	Nifty 50 Index (TRI)
Dividend (IDCW) Policy	The Scheme is currently not offering IDCW option. However, the said option may be introduced at later date.

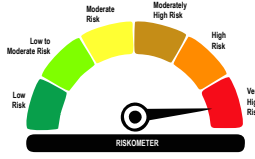
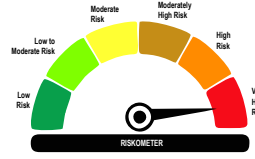
Name of the Fund Manager	Ms. Tanvi Kacheria Mr. Anand Shah Mr. Haresh Mehta (Managing the Scheme since inception)
Name of the Trustee Company	Jio BlackRock Trustee Private Limited
Performance of the Scheme	Since this is a new Scheme, it does not have any performance track record.
Additional Scheme Related Disclosures	(i) Scheme's portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors): Not applicable as this is a new Scheme. (ii) Disclosure of name and exposure to Top 7 issuers, stocks, groups and sectors as a percentage of NAV of the scheme: Not applicable as this is a new Scheme. (iii) Portfolio Turnover Rate: Not Applicable for this Scheme.
Expenses of the Scheme	New Fund Offer Period: These are the expenses incurred for the purpose of new fund offer of the scheme including marketing, advertising, communication, registrar expenses, statutory expenses, printing expenses, stationery expenses, bank charges, exchange related charges, service provider related charges etc. As required in SEBI Regulations, all NFO expenses will be borne only by the AMC and not by the Scheme. Accordingly, the NFO expenses would be incurred from AMC books and not from Scheme books. Continuous Offer:
Load Structure	Exit load: Nil Subject to the SEBI (MF) Regulations, the AMC reserves the right to modify/alter the load structure on the Units subscribed/redeemed on any Business Day. At the time of changing the load structure, the AMC / Mutual Fund may adopt the following procedure: ii. The addendum detailing the changes will be attached to Scheme Information Document. iii. Arrangements will be made to display the addendum in the Scheme Information Document in the form of a notice in all the investor service centres. iv. The introduction of the exit load along with the details will be stamped in the acknowledgement slip issued to the investors on submission of the application form and will also be disclosed in the statement of accounts issued after the introduction of such load. v. A public notice shall be provided on the website of the AMC in respect of such changes. vi. Any other measures which the mutual fund may feel necessary. As per Reg 44(4) of SEBI Mutual Funds Regulations, 2026, the exit load of the Scheme shall not exceed three per cent of the Net Asset Value of the Scheme. The AMC reserves the right to modify the Exit Load/Fee mentioned above at any time in future on a prospective basis, subject to the limits prescribed under the SEBI (MF) Regulations.

Recurring Expenses	These are the fees and expenses for operating the Scheme. These expenses include investment management and advisory fee charged by the AMC, Registrar and transfer agents' fee, marketing and selling costs etc. as given in the table below. Total Expense Ratio = Base Expense Ratio (BER) + Brokerage Cost + Transaction Cost incurred for the purpose of execution of trade + Statutory levies (including GST) The AMC has estimated that up to 0.90% of the daily net assets of the Scheme will be charged to the Scheme as expenses on an annualized basis. For the actual current expenses being charged, the investor should refer to the following link: https://www.jioblackrockamc.com/ter The total expenses (excluding brokerage & transaction cost and statutory levies) charged to the scheme shall not exceed the limits stated in Regulation 66 of the SEBI (MF) Regulations, 2026 and as permitted under SEBI Circulars issued from time to time. All scheme related expenses by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the scheme only within the regulatory limits and not from the books of the AMC, its associate, sponsor, trustee or any other entity through any route. Provided that the expenses that are very small in value but high in volume may be paid out of AMC's books. Such expenses can be paid out of AMC's books at actuals or not exceeding 2 bps of respective scheme AUM, whichever is lower. The AMC would update the notice of change in base expense ratio (BER) on the website – www.jioblackrockamc.com/ter at least three working days prior to the effective date of the change and update the TER under the Section titled "Statutory Disclosures" under sub- section titled "Total Expense Ratio". The purpose of the above table is to assist the Investor in understanding the various costs and expenses that an Investor in the Plan(s) under the Scheme will bear directly or indirectly. The figures in the table above are estimates. The actual expenses that can be charged to the Scheme will be subject to limits prescribed from time to time under the SEBI (MF) Regulations. Actual expenses for the previous financial year: Not applicable in case of a new scheme.
Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information, and also independently refer to their tax advisor.
Daily Net Asset Value (NAV) Publication	The AMC shall update the NAVs on website of the Association of Mutual Funds in India – AMFI (www.amfiindia.com) and on the website of AMC www.jioblackrockamc.com/nav by 11.00 p.m. on every Business Day. For further details, please refer to the SID.
For Investor Grievances please contact	Mr. Manish Kanchan – Investor Relations Officer JioBlackRock AMC, Unit no:1301, 13th Floor, Altimus building, Plot no.130, Worli Estate, Pandurang Budhkar Marg, Worli, Mumbai – 400018, Maharashtra, India Registrar: Computer Age Management Services Limited, Rayala Towers, 158, Anna Salai, Chennai – 600 002. For any grievances with respect to transactions through NSE/BSE, the investor should approach the investor grievance cell of the respective stock exchange.

	MFU Customer Care: For transactions related to MFU, Investors may contact the customer care of MFU on 022-71791111 (business hours on all days except Sunday and Public Holidays) or can raise a query or lodge a complaint by selecting the 'Help & Support' option on www.mfindia.com.
Unitholders' Information	All applicants whose monies towards purchase of units have been realised by the Mutual Fund on or before the allotment date will receive a full and firm allotment of units in their demat account within 5 business days, provided also that the applications are complete in all respects and are found to be in order. Any application for subscription of units may be rejected if found invalid or incomplete. For applicants applying through 'APPLICATIONS SUPPORTED BY BLOCKED AMOUNT (ASBA)', during NFO under the Demat mode, on or before allotment, the amount will be unblocked in their respective bank accounts and account will be debited only to the extent required to pay for allotment of units applied in the application form. For investors who have given demat account details, the Units will credited to demat account after due verification and confirmation from NSDL/CDSL of the demat account details. Units will be allotted in whole figure. Excess amount towards fractional units, if any, would be refunded to the investor. The face value per unit of all plans / options under the scheme is INR10/-. During Continuous Offer Period, only Authorised Participants/ Market Makers / Large Investors can transact directly with the Fund. Other Investors may trade on the exchange. A Consolidated Account Statement (CAS) detailing all the transactions across all mutual funds and their holding at the end of the month shall be sent to the investors in whose folios transactions have taken place during the month by email on or before the 12th day of the succeeding month and by physical means on or before the 15th day of the succeeding month. The holding(s) of the beneficiary account holder for units held in demat mode will be shown in the statement issued by respective Depository Participants (DPs) periodically. As the units of the Scheme will be issued, traded and settled compulsorily in dematerialized (electronic) form, the statement provided by the Depository Participant will be equivalent to the account statement. Note: Allotment of units will be done after deduction of applicable stamp duty and statutory charges, if any. Scheme Portfolio Portfolio shall be disclosed (along with ISIN) as on the last day of the month / quarter within 10 calendar days from the close of each month / quarter. Portfolio shall be disclosed on AMC website www.jioblackrockamc.com and on AMFI website www.amfindia.com. Portfolio shall be disclosed in a user-friendly and downloadable spreadsheet format. Portfolio shall also be sent by e-mail to all investors by the AMC / Mutual Fund. Physical copy of the scheme portfolio shall be provided to investors on receipt of specific request from the investor, without charging any cost. Half Yearly Financial Results The AMC / Mutual Fund shall within one month from the close of each half year, that is on March 31 and on September 30, host a soft copy of its unaudited financial results on the AMC website www.jioblackrockamc.com/disclosure in a user-friendly, downloadable and machine readable format. The unaudited financial results would be displayed on AMC website www.jioblackrockamc.com/disclosure and AMFI website www.amfindia.com. Annual Report Scheme wise annual report or an abridged summary thereof shall be mailed to all Investors whose email addresses are registered with the Mutual Fund within four months from the date of closure of the relevant financial year i.e. 31st March each year.

	The annual report, in a machine-readable format, would be displayed on the website of the AMC www.jioblackrockamc.com/disclosure and AMFI website www.amfiindia.com. The physical copy of the scheme-wise annual report or abridged summary shall be made available to the investors at the registered office of the AMC. For further details, please refer to the SAI.
--	--

NFO APPLICATION FORM

Investment Objective	Scheme Risk-o-meter	Benchmark Risk-o-meter Nifty 50 Index (TRI)
JioBlackRock Nifty 50 ETF Passive investment in equity and equity related securities replicating the composition of Nifty 50 Index, subject to tracking errors. There is no assurance that the investment objective of the Scheme will be achieved.	 The risk of the Scheme is Very High	 The risk of the Benchmark is Very High

Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

The above product labelling assigned during the New Fund Offer (NFO) is based on an internal assessment of the Scheme characteristics or model portfolio and the same may vary post NFO when the actual investments are made.

RIA / PMRN CODE	RIA / PMRN NAME	TIME STAMP HERE
-----------------	-----------------	-----------------

1. DEMAT DETAILS: (Demat account is mandatory as units will be allotted only in demat mode)

☐ NSDL	OR	☐ CDSL
DP Name:	DP Name:	
DP ID No.:	Beneficiary A/c No.	
Beneficiary A/c No.	(Mandatory to provide either one of the document)	
Enclosed ☐ Client Master List ☐ Transaction Cum Holding Statement ☐ Cancelled Delivery Instruction Slip (DIS)		
(Please ensure the name sequence in the application form matches the demat account.)		

2. APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

1st Applicant Name

PAN / PEKRN CKYC KIN No. (If Available) DOB / DOI

Name of Guardian (if 1st Applicant is minor) / POA Holder / Name of Contact Person (in case of Non-Individual Investors)

PAN / PEKRN CKYC KIN No. (If Available) DOB

Relationship with Minor ☐ Natural guardian ☐ Court appointed guardian (Attach Relationship proof with Minor)

Mobile No. Email ID

Mobile No. provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

3. STATUS OF 1st APPLICANT (Please tick ✓)

☐ Resident Individual	☐ Minor	☐ NRI-NRE	☐ Public Ltd. Co.	☐ Sole Proprietor	☐ Insurance Co.	☐ Govt. Body
☐ Partnership Firm	☐ LLP	☐ NRI-NRO	☐ Mutual Fund	☐ Private Ltd. Co.	☐ AOP / BOI	☐ Banks
☐ Provident Fund	☐ Superannuation / Pension Fund	☐ Gratuity Fund	☐ Financial Institution	☐ FPI	☐ HUF	
☐ NPS Trust	☐ Defence Establishment	☐ FPI Category - I / II / III	☐ PIO	☐ Others		

☐ Trust ☐ Society ☐ Section 8 company

Are you a Non-Profit Organization constituted for religious or charitable purpose as referred to in Clause (15) of Section 2 of the Income Tax Act, 1961 that is registered as a trust or a society under the Societies Registration Act, 1860 or any similar State legislation or a company registered under Section 8 of the Companies Act, 2013

☐ No ☐ Yes, NPO Reg. No.

4. INVESTMENT AND PAYMENT DETAILS (Cheque should be drawn in favour of 'Jio BlackRock Mutual Fund')

Scheme Name

JioBlackRock Nifty 50 ETF

Investment Amount (₹)

₹

Payment Mode

☐ Cheque ☐ Fund Transfer ☐ NEFT / RTGS

Cheque / UTR No. / UMRN

Cheque / Payment Date

DDMMYYYY

If the investment is made through a bank other than the payout bank, please attach the payment / bank proof and fill in the details below.

Bank Name

Please provide Bank Account details proof.

Account No.

Account Type

☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ Others

11 Digit IFSC

Branch City

5. BANK ACCOUNT (PAY-OUT) DETAILS OF 1st APPLICANT

Bank Name

Please provide proof of Bank Account.

Account No.

Account Type

☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others

11 Digit IFSC

Branch City

6. CORRESPONDENCE ADDRESS OF 1st APPLICANT (As per KYC records) (NRI Investors should mention their overseas address)

City

State

Country

Pincode

Type of address given at KRA

☐ Residential ☐ Business ☐ Registered Office

7. MODE OF OPERATION Please tick (✓) ☐ Single ☐ Joint ☐ Anyone or Survivor (Default)

JOINT APPLICANT DETAILS (Name should be as per PAN / Income Tax Department)

2nd Applicant Name

PAN / PEKRN

CKYC KIN No.
(If Available)

DOB

DDMMYYYY

Mobile No.

Email ID

Mobile No. provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

3rd Applicant Name

PAN / PEKRN

CKYC KIN No.
(If Available)

DOB

DDMMYYYY

Mobile No.

Email ID

Mobile No. provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

Email ID provided pertains to: Please tick (✓)

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ PMS ☐ Custodian ☐ POA

8.OTHER INFORMATION Please tick (✓)

	1st Applicant / Guardian	2nd Applicant	3rd Applicant
Politically Exposed Person [PEP]	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP	☐ Yes ☐ No ☐ Related to PEP
Occupation (Please ✓)	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others	☐ Private Sector Service ☐ Student ☐ Public Sector Service ☐ Agriculturist ☐ Government Service ☐ Retired ☐ Business ☐ Housewife ☐ Professional ☐ Forex Dealer ☐ Others
Gross Annual Income in ₹ (Please ✓)	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.	☐ Below 1 Lac ☐ 10-25 Lacs ☐ 1-5 Lacs ☐ 25 Lacs - 1 Cr. ☐ 5-10 Lacs ☐ > 1 Cr.
Networth in ₹ (For Non-Individuals only)		Networth as of date (Not older than 1 year)	

9. FATCA & CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor)
Non-Individual investors should mandatorily fill separate FATCA & UBO form.

	1st Applicant / Guardian	2nd Applicant	3rd Applicant
Place / City of Birth			
Country of Birth			
Nationality			
Are you a tax resident in any other country outside India?	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

10. LEI No. Expiry Date

DDMMYYYY

Non-Individuals who transact / propose to transact for an amount of ₹ 50 Crores or more. Mutual Fund's LEI: 335800HSMGAG7Q65UB36

11. NOMINATION DETAILS:

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account / folio in the event of my / our demise, as trustee and on behalf of my / our legal heir(s)*

		Mandatory Details					Additional Details	
	Name of nominee	Share of nominee (%) **	Relationship	Postal Address	Mobile number & E-mail	Identity Number***	D.O.B. of nominee^	Guardian
1				☐ Same as First Applicant				
2				☐ Same as First Applicant				
3				☐ Same as First Applicant				

*Joint Accounts:

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division /fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

*** Provide only number: PAN or Driving Licence or Aadhaar (last 4). Copy of the document is not required. However, in case of NRI / OCI/ PIO, Passport number is acceptable.

^ to be furnished only in following conditions / circumstances: ● Date of Birth (DoB): please provide, only if the nominee is minor.● Guardian: It is optional for you to provide, if the nominee is minor.

1. I / We want the details of my / our nominee to be printed in the statement of holding, provided to me / us by Jio BlackRock Asset Management Private Limited as follows; (Please tick (✓), as appropriate)

☐ Name of nominee(s) OR ☐ Nomination Opted "YES / NO" (If neither option or both options are selected, the default will be set to Nomination: Yes / No)

☐ **OPT-OUT declaration:** I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

12. DECLARATION & SIGNATURE(S)

☐ I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stockbroker registered in the concerned folio, if applicable. Investors providing Email Id would mandatorily receive E - Statement of Accounts in lieu of physical Statement of Accounts and the annual report or abridged summary on email. Please register your Mobile No & Email Id with us to get instant transaction alerts via SMS & Email. I / We have read, understood and agree to abide with the contents of Scheme Information Document (SID), Key Information Memorandum (KIM as applicable)) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date ('Scheme Related Documents'). I/We confirm my / our eligibility to invest in the Scheme as per the Scheme Related Documents and confirm that I / We are not barred by any legal authority. I / We confirm that all information provided is true and complete and will promptly inform the AMC/Fund/RTA of any change and provide additional details, if required. I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC FOR THIS INVESTMENT. I/We accept full responsibility for any loss caused to AMC/Fund/Trustee/ Sponsors and their officers, directors and employees due to false or incomplete information provided by me/us and agree to indemnify against all related claims, losses or disputes regarding my/our transactions. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We hereby provide consent for uploading/updating/fetching CKYC record from Central KYC Records Registry. I/We authorize sharing of my/our aforementioned information, including updates, with the MF, AMC, RTA, SEBI registered intermediaries, third party service providers and Indian or foreign authorities, subject to applicable laws, without prior notice to me / us. I/We acknowledge and accept the FATCA/CRS declarations and undertake to comply with applicable tax and regulatory obligations . I/ We give my consent to the AMC / MF to contact me over phone, SMS, email or any other mode to address my investment related queries and/or receive communication pertaining to transactions/ non-commercial transactions/ promotional/ potential investments and other communication/ material irrespective of my blocking preferences with the Customer Preference Registration Facility. I/We confirm that I/We do not have any existing Micro SIP/Lumpsum investments which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year (Applicable for Micro investment only.) with your fund house. I/We consent to the AMC/MF for collecting, storing, and using my/our personal information for processing this application, delivering subscribed services and fulfilling legal and regulatory obligations. **APPLICABLE FOR NRIs/PIOs/FPIs/OCIs INVESTING ON REPATRIATION BASIS ONLY:** I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

Sign below as per mode of holding

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder
--	---------------------------------	---------------------------------	------------

ETF Application Form - Instructions

1. General Instructions

- Investors are requested to read the Key Information Memorandum (KIM), Scheme Information Document (SID), Statement of Additional Information (SAI), and addenda issued from time to time (collectively referred to as the Scheme Documents) carefully before investing in the Scheme.
- Application Forms complete in all respects, may be submitted at any Official Points of Acceptance (OPA) of Jio BlackRock Mutual Fund (the "Fund"). For locations, please visit www.jioblackrockamc.com.
- SIP/STP/SWP or similar systematic facilities shall not be available for ETF schemes unless expressly provided in the relevant Scheme Documents / addenda.
- The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick the relevant options wherever applicable. Do not overwrite. Any correction or change made in the Application Form should be countersigned by the investor(s).
- Investors may write their PAN / Folio Number on the reverse side of the accompanying cheques, where payment by cheque is permitted for the relevant transaction
- Applications incomplete in any respect are liable to be rejected. Jio BlackRock Asset Management Private Limited (the AMC) / Jio BlackRock Trustee Private Limited (Trustee) have absolute discretion to reject any such Application Forms.
- Copies of the documents submitted should be accompanied by originals for verification. In case originals are not produced, the AMC/Mutual Fund reserves the right to seek attested copies of the relevant documents.
- Investors should retain the acknowledgement evidencing submission of the transaction till they receive a confirmation of acceptance or rejection of transaction. In case of difference of details in acknowledgement vis-à-vis actual transaction document, the details as mentioned on transaction document will prevail.
- Any investor can buy/sell units on a continuous basis on the National Stock Exchange of India Ltd. (NSE) and/or BSE Limited (BSE) or any other stock exchange where the units of the schemes are listed.
- Investment in units of the ETF/Scheme is subject to market risks, tracking error/tracking difference and liquidity/market price related risks. Investors should read all scheme related documents carefully before making an investment decision.

2. Demat Account is mandatory.

As this is an ETF scheme and the units will be mandatorily listed and traded on the Stock Exchanges, units will be issued in demat mode only.

Applicants should clearly mention the demat account details in the application form. Applications will be rejected if the demat account details are not provided, are incomplete, do not match with the records as per the Depository Participant.

The order of names in the application form should mandatorily match with the order of names as per the demat account details. Applicant should attach a copy of the client master or demat account statement along with the application form to verify the demat account details.

3. Existing Unit holders

- The details in our records under the folio number mentioned alongside will apply for the application provided the particulars should match with that of the demat account of the Unitholder. The details available in the depository records shall be relied upon for the relevant matters..
- In case the name and signature of the Unit holder as provided in this application does not correspond with details in the existing folio, the application form may be rejected, at the discretion of the AMC/ Fund.
- Unit holders' name should be in the same sequence as appearing in your demat account, failing which the Application Form is liable to be rejected.

4. New Investors

- Name should be given in full without any abbreviations as per PAN / PEKRN only, for the First, Second, Third Holder(s), POA, Nominee and Guardian.
- Please provide a valid email ID & mobile number along with family declaration. This will enable effective communication regarding

investment-related updates and help resolve queries promptly.

- For applications submitted by Companies, Bodies Corporate, Trusts, Societies, FPIs, and other non-individual entities, the Name of the Contact Person, along with Email ID and Telephone Number, must be mentioned.
- As mandated by SEBI, investors are required to provide the following information viz. Occupation details, Gross Annual Income / Networth and Politically Exposed Person (PEP)* status. Also, the detail of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole investor) is required to be provided as part of Client Due Diligence (CDD) process of the Fund. These details are mandatory for both Individual and Non-Individual investors.

*Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials. PEPs shall include the family members or close relatives / associates of PEPs.
- In the event the application has more than one investor, the mode of holding should be specified. In case it is not mentioned, the default mode for holding will be "Anyone or Survivor".
- Investors must indicate their tax status by ticking the appropriate checkbox. For new investments, if no status is selected or an incorrect status is provided, the AMC reserves the right to determine the correct status based on available information such as PAN or bank account details or such other information of the investor available with the AMC. The AMC shall not be responsible for any claims made by the investor/third party on account of updation of tax status. If "Others" is selected, the specific nature of the status must be clearly mentioned in the space provided.
- Unit holders' name should be in the same sequence as appearing in your demat account, failing which the Application Form is liable to be rejected.

5. Permanent Account Number (PAN)

- SEBI has made it mandatory for all investors (including joint holders) to mention the PAN details irrespective of the amount of investment, except the following categories:
- Where the investor is a minor, PAN details of parent / legal guardian, as the case may be must be submitted.
- The requirement to provide PAN is exempt for the following categories of investors, subject to fulfillment of prescribed conditions and submission of requisite documents:
 - Micro Investments: Individuals (including NRIs but excluding PIOs), minors, and sole proprietors investing up to Rs. 50,000 in a rolling 12-month period or in a financial year per mutual fund, through lump sum or SIP mode. Investors must complete KYC through a valid KYC acknowledgment or confirmation. Refer section Micro Investments (PEKRN) for more information.
 - Residents of the State of Sikkim are also exempt from the requirement of PAN for investing in mutual funds, subject to submission of proof of address evidencing their status as a Sikkim resident and fulfilment of KYC documentation requirements as prescribed by SEBI/AMFI.
 - Investments by Central and State Government Officials Appointed by Courts: Includes entities such as Official Liquidators, Court Appointed Receivers, etc., investing on behalf of Government or under directions of the Court. These entities must submit valid identity and address proof along with documentary evidence of such appointment.
 - Transactions by UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India, subject to submission of valid documentation evidencing the nature of the entity and the purpose of investment.

The AMC / Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed. No Redemption and related transaction(s) will be processed.

6. Who cannot invest?

- Overseas Corporate Bodies, as defined under the Foreign Exchange Management Act, 1999.
- Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.
- United States Person (U.S. person*) as defined under the extant laws of the United States of America, except the following:

- a. NRIs/PIOs may invest/transact, in the Scheme, when physically present in India, as lump sum subscription, redemption and/or switch transaction, including registration of systematic transactions upon submission of such additional documents/undertakings, etc., as may be stipulated by AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.
- b. FPIs may invest in the Scheme as lump sum subscription and/or switch transaction through submission of physical form in India, subject to compliance with all applicable laws and regulations and the terms, conditions, and documentation requirements stipulated by the AMC/Trustee from time to time and subject to compliance with all applicable laws and regulations.

The Trustee/AMC reserves the right to put the transaction requests received from such U.S. person on hold or reject the transaction request and redeem the units, if allotted, as the case may be, as and when identified by the Trustee / AMC that the same is not in compliance with the applicable laws and/or not fulfilled the terms and conditions stipulated by Trustee/AMC from time to time. Such redemptions will be subject to applicable taxes and exit load, if any.

- A person who is resident of Canada

*The term "U.S. person" means any person that is a U.S. person within the meaning of Regulations under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislations, rules etc, as may be in force from time to time.

The Fund reserves the right to include / exclude new / existing categories of investors who can invest in the Scheme from time to time, subject to SEBI Regulations and other prevailing statutory regulations, as applicable.

The AMC/ Trustee shall not be liable for any loss or expenses incurred in respect of those transaction requests/allotted units which have been kept on hold or rejected or reversed.

7. Investment on behalf of Minors

- The minor shall only be the sole Unit holder in a folio. Joint holding is not allowed. Demat account of the minor will be mandatory
- Details of the parent viz., father or mother or legal Guardian must be mentioned for investments made on behalf of a minor.
- A valid birth certificate or passport or School Leaving Certificate or any other valid document issued by a Government authority evidencing the date of birth of the minor.
- In case of a court-appointed legal guardian, a notarized or attested copy of the court order must also be submitted.
- If the submitted date of birth proof contains details establishing relationship between the guardian and the minor, the same shall be accepted. In the absence of such details, appropriate documents evidencing the relationship must be provided.
- Investments in the name of minors shall be permitted only from bank account of the minor, parent or legal guardian of the minor or from a joint account of the minor with the parent or legal guardian. Redemption / Income Distribution cum Capital Withdrawal (IDCW), if any proceeds for investments held in the name of Minor shall be transferred to the verified bank account of the minor (i.e. of the minor or minor with parent/ legal guardian) only.

For more details, please refer to SAI.

8. Bank Details

- i. Bank Account Details (For redemption/ IDCW if any):

- Investors must provide the details of the pay-out bank account held in India (i.e. account into which redemption / IDCW proceeds are to be paid), including the 11-digit Indian Financial System Code (IFSC), in the application form at the time of purchase of units. The same is mandated to be provided under SEBI Regulations.
- In case pay-out bank account is different from pay-in bank account (i.e., bank account from which subscription payment is being

made), the investor is required to submit any one of the following as documentary proof along with the application form validating that pay-out bank account pertain to the sole / first holder.

- a. Cancelled original cheque leaf of the pay-out bank account (where the account number and first holder name are printed on the face of the cheque). Investors should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;
- b. Self-attested copy of the bank passbook or a statement of bank account with current entries not older than 3 months having the name and address of the first holder and account number;
- c. A letter from the bank on its letterhead certifying that the investor maintains an account with the bank, the bank account information like bank account number, bank branch, account type & IFSC.

Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at any Official Points of Acceptance (OPA) of Jio BlackRock Mutual Fund (the "Fund").

- Documents submitted will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the investor after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number.
- Further, in exceptional cases where Third Party Payments are accepted, the investor is required to submit any one of the documentary proofs as stated in a, b and c above for the pay-out bank account.

- Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes.

- Investors are requested to note that applications for new folio creation submitted (wherein pay-out bank details are different from pay-in bank details) without any of the above-mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected.

ii. Multiple Bank Account Registration:

- An investor may register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) in a folio for receiving redemption / IDCW proceeds, if any. To register multiple bank accounts, investors are required to submit a duly filled Multiple Bank Accounts Registration form along with necessary supporting documents for each bank account.

- The form can be downloaded from our website - www.jioblackrockamc.com.

9. Investment Details

- Investors are required to clearly indicate their choice of Scheme for which subscription is made at the time of filling up the Application Form.
- Subscription(s) in the scheme(s) should meet the minimum amount requirements specified for the respective scheme(s).
- Only those applications where the details are matched with the depository data, will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected. The units of the Scheme will be issued, traded and settled compulsorily in dematerialized (electronic) form.
- The applicants would be required to have a beneficiary account with a depository participant of the NSDL / CDSL and would be required to mention in the application form the DP's name, DP ID No. and Beneficiary Account No. with the DP at the time of purchasing units during the NFO.

10. Mode of Payment for Subscription :

Pay-In Bank Account

- Investor must provide the details of the pay-in bank account (i.e. account from which a subscription payment is being made) in the application form.
- Cheques drawn should be in favour of "Jio BlackRock Mutual Fund" and crossed "A/c Payee only" and made payable at the location where the application form is submitted to the designated Investor Service Centre / Collection Centre.

Non MICR / outstation post-dated cheques/demand drafts/ money orders/ postal orders will not be accepted.

- Subscriptions can also be made through various electronic modes such as Real Time Gross Settlement (RTGS) / National Electronic Fund Transfer (NEFT) / Direct Credit (DC) / National Automated Clearing House (NACH) / Net banking / Unified Payment Interface (UPI) / Immediate Payment Service (IMPS) or such other modes as may be introduced by RBI from time to time and made available by the AMC. The investor should place a RTGS / NEFT / Fund Transfer request with their bank from where the funds are to be paid and submit the bank acknowledged copy of request letter with the application form by mentioning the Unique Transaction Reference (UTR) Number / Transaction reference number which is generated for their request by the bank.

RTGS / NEFT request is subject to the RBI regulations and guidelines governing the same. The AMC/Fund shall not be liable for any loss arising or resulting from delay in credit of funds in the Fund/Scheme collection account.

NRIs, PIOs, OCIs

- Repatriation basis: Payments by NRIs/PIOs/OCIs may be made by way of cheques drawn on Non-Resident External (NRE) account payable at par and payable at the cities where the Investor Service Centers are located.
- Non-Repatriation basis: NRIs investing on a non-repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

i. Unit holders holding Units in Demat mode

Investors will receive their redemption payout / IDCW proceeds, if any directly into their bank accounts linked to the demat accounts.

11. Communication

- Investors should ensure that the email address and mobile number provided is that of First / Sole holder or of their Family member. Family means spouse, dependent children, Dependant Sibling or dependent parents and a guardian in case of a minor. Option to indicate if the contact information belongs to PMS, Custodian and Power Of Attorney has also been provided.
- The email address and mobile number provided shall be registered in the folio for all official communications from the AMC / RTA.
- If this section is left blank, the email address and mobile number of the First / Sole Holder, as available in the KYC records, shall be registered in the folio.
- If the AMC / RTA finds the provided contact details to be incorrect, doubtful, or not belonging to the investor / family member, the AMC / RTA may not capture / update these details in the folio. In such case AMC / RTA will intimate the investor to provide the correct details by submitting the request at any Official Point of Acceptance (OPA) or through a KYC change request form or other permissible mode.
- Submission of an email address and mobile number shall be treated as the investor's consent to receive allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode. These documents shall be sent physically in case the Unit holder opts/request for the same.
- Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly intimate the Fund about the same to enable the Fund to make the delivery through alternate means.

It is deemed that the investor is aware of all security risks including possible third-party interception of the documents and contents of the documents becoming known to third parties. Subject to Regulations, The AMC / Trustee reserves the right to send any communication in physical mode.

12. Unit Holding Option

- For ETF schemes, units shall be issued, held, traded and settled only in dematerialised (Demat) mode where so provided in the relevant SID/KIM. Physical / account statement mode shall not be available for such ETF units.

i. Demat mode

- Investors must provide valid DP ID and Client ID details of their Depository Participant (DP) account with NSDL or CDSL.
- The names of the investors must match with those in the Demat account.

- Units shall be directly credited to the investor's demat account after the realization of payment funds and depositories will issue a statement.

Please attach a copy of the Client Master Form / DP statement showing active demat account details for verification.

- Upon units being allotted in demat mode, all details such as address, bank details, nomination etc. will be applicable as available in the depositories' records. For effecting any subsequent changes to such information, Investors should approach their DP. Redemption requests for units held in demat mode must be submitted to DP or through Stock Exchange Platform, as applicable. Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL.
- Holding / transacting of units held in demat mode shall be in accordance with the procedures / requirements laid down by the Depositories, viz. NSDL/ CDSL in accordance with the provisions under the Depositories Act, 1996 and the regulations thereunder. Once the units are allotted in demat mode, no account statement / capital gain statement/or any other statements can be issued from Jio BlackRock Mutual Fund / RTA to the investors.
- In case the demat details mentioned in the application are incomplete / incorrect or do not match with the depository data,
- Account statement i.e. statement of holding/CAS for units held in demat mode will be issued by Depository Participant / Depositories only

13. Nomination

- As per SEBI guidelines, it is mandatory for individual investors, except jointly held folios to either:
 - Provide nominee details, or
 - Opt out of nomination by submitting a duly signed declaration.
- The signatories for this nomination, shall be as per the mode of holding in the folio i.e.
 - 'Either or Survivor' Folios - any one of the holder can sign
 - 'Jointly' Folios – all holders have to sign
 - 'First holder' Folios – only First holder to sign
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee may be provided.
- Investors shall mandatorily provide the following:
 - any one of the following personal identifiers of the nominee – PAN or Driving Licence number or last 4 digits of Aadhaar (only the document number is required to be provided; not the document). However, in case of NRI / OCI / PIO, Passport number is acceptable
 - full contact details of nominee(s) such residential address, e-mail
 - address, telephone / mobile number
 - relationship of nominee(s) with the investor
 - Date of birth of nominee(s) (if nominee is a minor)
- Thumb impression, if affixed on the nomination form, shall be witnessed by two persons and details of such witnesses shall be duly captured in the form.
- For ETF units held in demat mode, nomination details available in the investor's demat account / depository records shall apply. Any update to nomination for such demat holdings should be routed through the DP/depository process, unless otherwise permitted.

For complete details about nomination, please refer to SAI.

14. Power of Attorney (POA)

- In case of application under POA by an Individual or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for / authorize the POA holder / authorized signatory to make application/ invest on behalf of the investor.
- The application form must be accompanied by the original or a certified true copy/duly notarized copy of Power of Attorney.

15. Signatures

- Signature(s) should be in English or in any Indian Language specified in the eighth schedule of the constitution of India.
- If the application has more than one holder, all holders are required to sign the application form, regardless of the mode of holding (e.g.,

“Anyone or Survivor” or “Joint”). Applications that are not signed by all holders are liable to be rejected.

- Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal.
- Applications on behalf of minors should be signed by their parent/legal Guardian. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.
- In case of an application through a constituted Attorney, the Power of Attorney should be signed by the investor and the constituted Attorney. The Application Form should be signed in such cases by such constituted Attorney.
- In the case of company or other non-individual entities, the Authorized officials should sign the form under their official designation and affix the seal of the entity. The signatures should match with the authorised signatory list (ASL).

16. Prevention of Money Laundering

- SEBI vide its Master circular on Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under dated June 6, 2024, as amended from time to time, has mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework on anti-money laundering measures and also to adopt a Know Your Customer (KYC) policy.
- The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.
- To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's telephonic calls and / or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.
- The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non-compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.
- For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website www.jioblackrockamc.com.

17. Know Your Customer (KYC) Compliance

Units held in demat mode

- The KYC performed by the Depository Participant of the investors will be considered as KYC verification done by the Trustee / AMC. In the event of non-compliance of KYC requirements, the Trustee/AMC reserves the right to reject the application and refund the subscription amount / effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any and freeze the folio of the investor(s).
 - For further details, please refer Section 'Know Your Customer (KYC) Compliance' under Statement of Additional Information available on our website www.jioblackrockamc.com
- i. **Central KYC Records Registry (CKYCR):**
- Investors, who have already completed CKYC process & have obtained KIN can quote their 14-digit unique KYC identifier KIN in the application form. For more details about CKYCR, please refer to SAI.

18. Details under Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS)

- The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all

investors. In relevant cases, information will have to be reported to tax authorities / appointed agencies.

- Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto.
- Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.
- Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.
- If you have any questions about your tax residency, please contact your tax advisor.
- Further, if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number (TIN) or any other relevant reference ID/ Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

19. Ultimate Beneficial Owners(s) (UBO)

- Pursuant to SEBI Master Circular dated June 6, 2024 on 'Guidelines on Anti-Money Laundering (AML) Standards and Combating the Financing of Terrorism (CFT) / Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002 and Rules framed there under', as amended from time to time, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. For complete details on UBO, please refer SAI.
- Non-individual investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'.
- All the UBOs should be KYC compliant.
- Please visit our website www.jioblackrockamc.com to download the UBO Form.

20. Third Party Payments

“Third party Payments” for this purpose are defined as:

- Investment made through instruments issued from an account other than that of the beneficiary investor.
- Investment made from a joint bank account, where the first holder of the mutual fund folio is not one of the holders of the bank account from which payment is made.

Investments made through Third Party payments will not be accepted, except in the following cases, subject to submission of valid documentation and compliance with the Fund/AMC policies:

- Payment in respect of investments for minor investors from the bank account of the minor, or parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
- Payment by Employer on behalf of employee under Systematic Investment Plans (SIPs) or lumpsum / one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
- Payment by a Corporate to its Agent/Distributor/Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods / services, in the form of the Mutual Fund Units through SIP or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

The above-mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/unit holder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.

- Verifying the source of funds to ensure that funds have come from the drawer's account only.

The AMC/ Mutual Fund reserves the right to accept applications, over and above the circumstances listed above, subject to completion of requisite documentation and additional checks and verification as stipulated by the AMC/the Fund.

In case of investment / subscriptions via RTGS, NEFT, bank transfer, net banking or any similar electronic modes, the AMC may seek a copy of the bank instruction provided to the drawer's bank, clearly reflecting the account holder's name and the bank account number debited or any other proof evidencing the source of funds.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received an account not belonging to the first unit holder of the mutual fund.

RIA / Portfolio Manager information

For Office use only

RIA / PMRN CODE#	RIA / PMRN NAME	SCSB (Name & Code)	SCSB IFSC Code	Syndicate Member Code	SCSB Branch SL No
------------------	-----------------	--------------------	----------------	-----------------------	-------------------

I / We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my / our transactions under Direct Plan to the RIA / Portfolio Managers / Stock Broker registered in the concerned folio, if applicable.

1. APPLICANT DETAILS (As available in Demat Account)

1st Applicant Name		PAN	
2nd Applicant Name		PAN	
3rd Applicant Name		PAN	
Folio No. if any			

2. DEPOSITORY ACCOUNT DETAILS

☐ Physical Mode (Default) ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.

NSDL	DP ID	I	N	Beneficiary Account No.	
CDSL	Beneficiary Account No.				

3. BANK ACCOUNT FOR BLOCKING OF FUNDS [Bank Account should be in the name of 1st Applicant only]

Bank Name		Please provide Bank Account details proof.
Account No		Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others _____
11 Digit IFSC		Branch City

Total Amount to be blocked (₹) in Figures

Attention: NRI Investors: Payment should be made through their NRE / FCNR accounts.

UNDERTAKING BY ASBA INVESTOR

I/ We hereby undertake that, I/we have read and understood the instructions mentioned in this Form and Terms and Conditions concerning ASBA as mentioned in the Scheme Information Document (SID), Key Information Memorandum (KIM) of the above-mentioned Scheme and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date.

I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 ('SEBI ICDR Regulations') as amended from time to time.

In accordance with ASBA process provided in the SEBI ICDR Regulations a, I/We authorize (a) the Self Certified Syndicate Bank (SCSB) to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB/ASBA Account details or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/JioBlackRock Mutual Fund on receipt of instructions from its Registrar and Transfer Agent after finalisation of basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) RTA / JioBlackRock Mutual Fund to issue instructions to the SCSB on or before allotment date, to remove the block on the funds in the bank account specified in the application and to transfer the requisite money to the Scheme's account / Bank account of JioBlackRock Mutual Fund.

In case the amount available in the bank account specified in this application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application.

If the DP ID, Beneficiary Account No. or PAN/ PEKRN furnished by me/us in the application is incorrect, incomplete or not matching with the depository records, the application shall be rejected and JioBlackRock Mutual Fund or Jio BlackRock Asset Management Private Limited or R&TA or SCSBs shall not be liable for losses, if any.

I / We confirm that details provided by me / us are true and correct.

APPLICABLE FOR NRIs/PIOs/ /FPIs INVESTING ON REPATRIATION BASIS ONLY: I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

CONTACT DETAILS OF 1st APPLICANT

Mobile No.	
Email ID	

SIGNATURE OF BANK ACCOUNT HOLDERS

1st Applicant	2nd Applicant	3rd Applicant
---------------	---------------	---------------

This page is intentionally left blank

TERMS & CONDITIONS AND INSTRUCTIONS

1. An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes :
 - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");
 - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
 2. ASBA Application Form will not be accepted by any of the offices of JioBlackRock Mutual Fund or its Registrar & Transfer Agent.
 3. From one bank account more than five applications will not be allowed.
 4. Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form.
 5. To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
 6. Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
 7. Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked, Bid amount, bank and branch name shall be given in this block.
 8. Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
 9. In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
 10. Demat/Non-Demat Mode:
 - i. Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode. Demat is mandatory for NFO applications of ETF schemes only.
 - ii. The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.
 - iii. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
 11. SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA.
- Please visit www.sebi.gov.in/cms/sebi/data/attach_docs/1365051213899.html or www.nseindia.com for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches
12. The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to JioBlackRock Mutual Fund.
 13. JioBlackRock Mutual Fund or its Registrar, shall not be liable for any negligence or mistake committed by the SCSBs



ACKNOWLEDGEMENT FOR SCSB

Received from 1st Applicant		PAN	
Scheme Name	JioBlackRock Nifty 50 ETF		
Depository Name [Please ✓]	☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited		
NSDL	DP ID	I N	Beneficiary Account No.
CDSL	Beneficiary Account No.		
SCSB ACCOUNT DETAILS: Bank A/c No			
Bank Name & Branch			



ACKNOWLEDGEMENT FOR INVESTOR

Received from Mr. / Ms. / Mrs. / M/s.	
Scheme Name	JioBlackRock Nifty 50 ETF
SCSB Account details	
Bank Name	A/c No.
Branch Name	
Total Amount to be Blocked	
₹ in figures	

SCSB, Stamp, Signature
Date & Time of receipt



(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

Name of the entity

Type of address given at KRA ☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office

PAN Date of Incorporation

City of incorporation Country of incorporation

Additional KYC Information

Gross Annual Income (Rs.) [Please tick (✓)] ☐ Below 1 lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs - 1 Crore ☐ >1 Crore

Net-worth ₹ as on (Not older than 1 year)

Non-Individual Investors involved/providing any of the mentioned services

- ☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services ☐ Money Lending / Pawning
☐ None of the above

FATCA & CRS Declaration

Please tick the applicable tax resident declaration -

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr. No.	Country	Tax Identification Number*	Identification Type (TIN or Other*, please specify)

* In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

☐ We are a Financial institution (Refer 1 of Part C) ☐ or Direct reporting NFE (Refer 3(vii) of Part C) (please tick (✓) as appropriate)

GIIN Name of sponsoring entity

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below

GIIN not available (please tick as applicable)

☐ Applied for ☐ Not obtained – Non-participating FI ☐ Not required to apply for - please specify 2 digits sub-category (Refer 1 A of Part C)

PART B (please fill any one as appropriate "to be filled by NFEs other than Direct Reporting NFEs")

Is the Entity a publicly traded company (that is, a company whose shares are regularly traded on an established securities market) (Refer 2a of Part C) ☐ Yes (If yes, please specify any one stock exchange on which the stock is regularly traded)

Security ISIN

Name of stock exchange

Is the Entity a related entity of a publicly traded company (a company whose shares are regularly traded on an established securities market) (Refer 2b of Part C)

☐ (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded)

Name of listed company

Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Security ISIN

Name of stock exchange

Is the Entity an active NFE (Refer 2c of Part C)

☐ Yes Nature of Business

Nature of relation: ☐ Subsidiary of the Listed Company or ☐ Controlled by a Listed Company

Please specify the sub-category of Active NFE (Mention code – refer 2c of Part C)

Is the Entity a passive NFE (Refer 3(ii) of Part C)

☐ Yes Nature of Business

UBO Declaration (Mandatory for all entities except, a Publicly Traded Company or a related entity of Publicly Traded Company)

Category (Please tick applicable category): ☐ Unlisted Company ☐ Partnership Firm / LLP ☐ Unincorporated association / body of individuals
☐ Public Charitable Trust ☐ Private Trust ☐ Religious Trust ☐ Trust created by a Will ☐ Others (please specify _____)

Does your company/entity have any individual person(s) who holds direct / indirect controlling ownership above the prescribed threshold limit?
☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below.

If 'NO' - We hereby declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below

Please list below the details of controlling person(s), confirming ALL countries of tax residency/permanent residency/citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attached additional sheets if necessary).

Owner-documented FI's should provide FI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3
Name			
PAN (For Foreign National, TIN to be provided)			
% of beneficial interest.	☐ >10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA.	☐ >10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA.	☐ >10% controlling interest. ☐ >15% controlling interest. ☐ >25% controlling interest. ☐ NA.
Country of Tax Residency.			
Taxpayer Identification Number / Equivalent ID Number (Mandatory if country of tax residency is also other than India)			
Identity Type			
Place & Country of Birth	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____	Place of Birth _____ Country of Birth _____
Nationality			
Date of Birth [dd-mm-yyyy]			
PEP	☐ Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP.	☐ Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP.	☐ Yes – PEP. ☐ Yes – Related to PEP. ☐ N – Not a PEP.
Address (include City, Pincode, State, Country)	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:	Address: City: Pincode: State: Country:
Address Type	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office
Email ID			
Mobile			
Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
Father's Name			
Occupation	☐ Public Service ☐ Private Service ☐ Business ☐ Others	☐ Public Service ☐ Private Service ☐ Business ☐ Others	☐ Public Service ☐ Private Service ☐ Business ☐ Others
Designation			

KYC Complied?	☐ Yes ☐ No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	☐ Yes ☐ No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.	☐ Yes ☐ No. If 'Yes,' please attach the KYC acknowledgement. If 'No,' complete the KYC and confirm the status.
BEN2 Form or any other relevant supporting documents as applicable**	☐ Attached	☐ Attached	☐ Attached

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

** Documentary proof for UBO.

FATCA - CRS Terms and Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Declaration

I/We have read and understood and agree to abide with terms and conditions of the Scheme Information Document (SID), Key Information Memorandum (KIM) and Statement of Additional Information (SAI) of JioBlackRock Mutual Fund and the addendums issued thereto till date. I/We acknowledge and confirm that the information provided above is true and correct. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/We am/are aware that I/We may be liable for it including any penalty levied by the statutory/legal/regulatory authority. I/We hereby confirm that the above beneficial interest after perusing all applicable shareholding pattern and MF/RTA/other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries /or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you promptly inform in writing about any changes / modifications to the above information in future.

Name

Designation

Place

Date

D D M M Y Y Y Y

Sign of Authorised Signatory/ies & Seal of Entity

1st Holder/Guardian/ Authorised Signatory	2nd Holder/Authorised Signatory	3rd Holder/Authorised Signatory	POA Holder
--	---------------------------------	---------------------------------	------------

PART C FATCA Instructions & Definitions

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of:
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
 - ✓ That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual and collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;or
 - ✓ The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

 - (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
 - (ii) The period during which the entity has been in existence. The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

• FI not required to apply for GIIN:	
A. Reasons why FI not required to apply for GIIN:	
Code	Sub-category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors, Investment Managers& Executing Brokers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-financial entity (NFE) - Entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company) A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)
b. Related entity of a publicly traded company The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Active NFE : (is any one of the following):	
Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements:
	- It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; - It is exempt from income tax in India; - It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.
(ii) Passive NFE The term passive NFE means (1) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or (2) an investment entity defined in clause (1) of these instructions (3) a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes) (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
(iii) Passive income The term passive income includes income by way of : (1) Dividends, (2) Interest (3) Income equivalent to interest, (4) Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE (5) Annuities (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, (8) The excess of foreign currency gains over foreign currency losses (9) Net income from swaps (10) Amounts received under cash value insurance contracts But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- (1) More than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- (2) More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- (3) More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account- based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type (UBO):

UBO Code	Sub-category
01	CP of legal person-ownership
02	CP of legal person-other means
03	CP of legal person-senior managing official
04	CP of legal arrangement-trust-settlor
05	CP of legal arrangement-trust-trustee
06	CP of legal arrangement-trust-protector
07	CP of legal arrangement-trust-beneficiary
08	CP of legal arrangement-trust-other
09	CP of legal arrangement-Other-settlor equivalent
10	CP of legal arrangement-Other-trustee equivalent
11	CP of legal arrangement-Other-protector equivalent
12	CP of legal arrangement-Other-beneficiary equivalent
13	CP of legal arrangement-Other-other equivalent
14	Owner Documented FFI

(v) Specified U.S. person – A U.S. person other than the following:

- (1) a corporation the stock of which is regularly traded on one or more established securities markets;
- (2) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (3) the United States or any wholly owned agency or instrumentality thereof;
- (4) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (5) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (6) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (7) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (8) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (9) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (10) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (11) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (12) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (13) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FI An FI meets the following requirements:

- (a) The FI is an FI solely because it is an investment entity;
- (b) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company;
- (c) The FI does not maintain a financial account for any non participating FI;
- (d) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

(viii) Exemption code for U.S. persons	
Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

Folio No.

NAME OF 1ST APPLICANT (Beneficial Investor)

Mr. / Ms. / M/s.

2. THIRD PARTY INFORMATION

Name Of Third Party (Person making the payment)

Mr. / Ms. / M/s

Nationality PAN / PEKRN

Name of Contact Person & Designation (In case of non-individual third party)

Mr. / Ms.

Designation

Mailing Address

City State

Country Pincode

Contact Details

Mobile No. Email ID

RELATIONSHIP OF THIRD PARTY WITH THE BENEFICIAL INVESTOR [Please tick (✓) as applicable]

Status of the Beneficial Investor	☐ Minor	☐ FPI ☐ Client	☐ Employee(s)
Relationship of Third Party with the Beneficial Investor	☐ Father / Mother / Court appointed Legal Guardian (Please attach proof of relationship, if not already submitted)	Custodian - SEBI Registration No. of Custodian _____ Registration Valid Till _____	Employer
Declaration by Third Party	I / We declare that the payment made on behalf of minor is in consideration of natural love and affection or as a gift.	I / We declare that the payment is made on behalf of FPI/Client and the source of this payment is from funds provided to us by FPI/Client.	I / We declare that the payment is made on behalf of employee(s) under Systematic Investment Plans or as lump sum / one-time subscription, through Payroll Deductions.

3. THIRD PARTY OTHER DETAILS

3a. Status of Third Party Applicant [Please tick (✓)] ☐ Individual ☐ Non - Individual

☐ Resident Individual ☐ Minor ☐ NRI-NRE ☐ Public Ltd. Co. ☐ Sole Proprietor ☐ Insurance Co. ☐ Govt. Body
☐ Partnership Firm ☐ LLP ☐ NRI-NRO ☐ Mutual Fund ☐ Private Ltd. Co. ☐ AOP / BOI ☐ Banks
☐ Provident Fund ☐ Supperannuation / Pension Fund ☐ Gratuity Fund ☐ Financial Institution ☐ FPI ☐ HUF
☐ NPS Trust ☐ Defence Establishment ☐ FPI Category -I / II / III ☐ PIO ☐ Others _____

3b. Occupation Details [Please tick (✓)] ☐ Service ☐ Private Sector ☐ Public Sector ☐ Government Service ☐ Student ☐ Professional

☐ Housewife ☐ Business ☐ Retired ☐ Agriculture ☐ Proprietorship ☐ Others _____

3c. Gross Annual Income (₹) [Please tick (✓)] ☐ Below 1 Lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10- 25 Lacs ☐ >25 Lacs-1 Crore ☐ >1 Crore

c. Net-worth (Mandatory for Non-Individuals) ₹ _____ as on

(Not older than 1 year)

3d. Politically Exposed Person (PEP) Status (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors)

☐ I am PEP ☐ I am Related to PEP ☐ Not Applicable

3e. Non-Individual Investors involved / providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino Services
☐ Money Lending / Pawning ☐ None of the above

4. THIRD PARTY PAYMENT DETAILS

Mode of Payment [Please (✓)]	Mandatory Enclosure(s)*
Cheque ☐	In case the account number and account holder name of the third party is not pre-printed on the cheque then a copy of the bank passbook / statement of bank account or letter from the bank certifying that the third party maintains a bank account.
RTGS ☐ NEFT ☐ Fund Transfer ☐	Copy of the Instruction to the Bank stating the Bank Account Number which has been debited.

* JioBlackRock Mutual Fund / Jio BlackRock Asset Management Private Limited (JioBlackRock AMC) reserves the right to seek information and / or obtain such other additional documents / information from the Third Party for establishing the identity of the Third Party.

Amount in figures ₹

Cheque / UTR No. Cheque / RTGS Date

Pay- in Bank Account No.

Name of the Bank

Bank Branch Bank City

Account Type [Please tick (✓)] ☐ SAVINGS ☐ CURRENT ☐ NRE ☐ NRO ☐ FCNR ☐ OTHERS (please specify)

5. DECLARATIONS & SIGNATURE / S

- a. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions.
- b. JioBlackRock Mutual Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation/ declarations:
- a. Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
- b. Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
- c. Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
- d. Payment by a Corporate to its Agent /Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- e. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
- i. Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. Third party.
- ii. Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. Third party.
- iii. Verifying the source of funds to ensure that funds have come from the drawer's account only.
- f. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, additional details such as Occupation, Gross Annual Income/Net Worth, and Politically Exposed Person (PEP) status, which were previously part of the uniform KYC form, will now be included in the JioBlackRock Mutual Fund application form. Additionally, details regarding the nature of services (e.g., Foreign Exchange, Gaming, Money Lending) for the first/sole applicant must be provided as part of the Client Due Diligence (CDD) process for JioBlackRock Mutual Fund.

The said details are mandatory for both Individual and Non-Individual applicants. *PEP is defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

- g. Investors are advised that any application for subscription to Units of the JioBlackRock Mutual Fund Schemes, accompanied by Third Party payments (except for the exceptional cases as described in Rule (b) above, is subject to rejection. This rejection will occur without any recourse to the Third Party or the applicant investor(s).

The above-mentioned Third-Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website www.jioblackrockamc.com for any further information or updates on the same.

Applicable to NRIs / PIO / OCIs only:

I / We am / are not prohibited from accessing capital markets under any order / ruling / judgment etc. of any regulation, including SEBI. I / We confirm that my application is in compliance with applicable Indian and foreign laws.

Please (✓) ☐ Yes ☐ No

If yes, (✓) ☐ Repatriation basis

☐ Non-repatriation basis

Signature of the Third Party

BENEFICIAL INVESTOR(S) DECLARATION

I / We hereby confirm that the information provided herein by the Third Party is true and correct.

Applicable to Guardian receiving funds on behalf of Minor only:

I / We confirm that I / We are the guardian of the Minor registered in folio and have no objection to the funds received towards Subscription of Units in this Scheme(s) on behalf of the minor.

Sign below as per mode of holding

1st Holder/Guardian/
Authorised Signatory

2nd Holder/Authorised Signatory

3rd Holder/Authorised Signatory

POA Holder

THIRD PARTY PAYMENT RULES

1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds / asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions
- 2a. The following words and expressions shall have the meaning specified herein:

- (a) "Beneficial Investor" is the first named applicant / investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
- (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
- (c) "Third Party payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant / investor mentioned in the application form.

Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. The Fund / JioBlackRock AMC will not accept subscriptions with Third Party payments except in the following exceptional cases, which is subject to submission of requisite documentation / declarations:
 - (i) Payment in respect of investments for minor investors from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.
 - (ii) Payment by Employer on behalf of employee under Systematic Investment Plans or lumpsum/ one time subscription, through Payroll deductions or deductions out of expense reimbursements.
 - (iii) Custodian on behalf of a Foreign Portfolio Investors (FPIs) or a client.
 - (iv) Payment by a Corporate to its Agent/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through SIP or lump sum/one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected / not processed / refunded.
 - (i) Mandatory KYC for all investors (guardian in case of minor) and the person making the payment i.e. third party.
 - (ii) Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors (guardian in case of minor) and the person making the payment i.e. third party.
 - (iii) Verifying the source of funds to ensure that funds have come from the drawer's account only.
- 2d. **In accordance with SEBI Circular No. CIR / MIRSD / 13 / 2013 dated December 26, 2013, the additional details** viz. Occupation details, Gross Annual Income / networth and Politically Exposed Person (PEP)* status mentioned under section 4 & 5 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange / Gaming / Money Lending, etc., (applicable for first / sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

**PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc.*

- 2e. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of JioBlackRock Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of JioBlackRock AMC or visit our website www.jioblackrockamc.com for any further information or updates on the same.

Investor Name

PAN

- ☐ I / We hereby confirm that the above stated entity / organization is **NOT** falling under Non-profit organization as defined above or in PMLA Act / Rules thereof.
- ☐ I/We hereby confirm that above stated entity / organization is falling under "Non-profit organization" [NPO] which has been constituted for religious or charitable purposes referred to in clause (15) of section 2 of the Income-tax Act, 1961 (43 of 1961), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).

We further confirm that we have registered with DARPAN Portal of NITI Aayog as NPO and registration details are as follows:

Registration Number of DARPAN portal

If not, please register immediately and confirm with the above information.

Declaration & Signature(s)

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements and authorize you to deduct such fines/charges under intimation to me/us or collect such fines/charges in any other manner as might be applicable. I/We hereby authorize you [RTA/Fund/AMC/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsors, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries or any other statutory authorities to facilitate single submission / update & for regulatory purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

Signature with relevant seal:

Authorized Signatory	Authorized Signatory	Authorized Signatory
---------------------------------	---------------------------------	---------------------------------

Place:

Date:

Version 1.1

PAN

Name of Investor

TIME STAMP HERE