

Name & ARN Code / RIA Code# / PMRN	Sub-Broker ARN Code	Sub-Broker Code	Employee Unique Identification No.*	TIME STAMP HERE
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*Purpose of EUIN is to capture the identification of the sales person/employee/relationship manager of the distributor interacting with the investor, irrespective of whether the transaction is "Execution only" or "Advisory". However, in case of any exceptional cases where there is no such interaction, the investor can keep EUIN box blank and sign the following declaration; I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. #/ We hereby give my/ our consent to share/ provide transaction data feed/ unit holding in respect of my/ our investments under Direct Plan to the above mentioned RIA.

1st Holder/Guardian/POA Holder
Authorised Signatory

1. APPLICANT DETAILS (As available in Demat Account)

1st Applicant Name	PAN
2nd Applicant Name	PAN
3rd Applicant Name	PAN
Folio No. if any	

2. DEPOSITORY ACCOUNT DETAILS

☐ Physical Mode (Default) ☐ Demat Mode (Enclose Latest Client Master / Demat Account Statement)

Demat Account details are mandatory for (i) FPIs and (ii) investors who wish to hold the units in Demat Mode (Account statement (CAS) for units held in demat mode will be issued only by NSDL / CDSL) Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.

NSDL	DP ID	I	N	Beneficiary Account No.
CDSL	Beneficiary Account No.			

3. BANK ACCOUNT FOR BLOCKING OF FUNDS [Bank Account should be in the name of 1st Applicant only]

Bank Name	Please provide Bank Account details proof.	
Account No	Account Type	☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Others_____
11 Digit IFSC	Branch City	

Total Amount to be blocked (₹) in Figures

Attention: NRI Investors: Payment should be made through their NRE / FCNR accounts.

UNDERTAKING BY ASBA INVESTOR

I/ We hereby undertake that, I/we have read and understood the instructions mentioned in this Form and Terms and Conditions concerning ASBA as mentioned in the Investment Strategy Information Document (ISID), Key Information Memorandum (KIM) of the above-mentioned investment Strategy and Statement of Additional Information (SAI) of Prism SIF provided by Jio BlackRock ("Prism SIF") and the addendums issued thereto till date.

I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018 ('SEBI ICDR Regulations') as amended from time to time.

In accordance with ASBA process provided in the SEBI ICDR Regulations

(a) I/We authorize (a) the Self Certified Syndicate Bank (SCSB) to do all necessary acts including blocking of application money towards the Subscription of Units of the investment Strategy, to the extent mentioned above in the "SCSB/ASBA Account details or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the above mentioned investment Strategy/Prism SIF provided by Jio BlackRock on receipt of instructions from its Registrar and Transfer Agent after finalisation of basis of allotment entitling me/us to receive Units on such transfer of funds, etc.

(b) RTA / Prism SIF to issue instructions to the SCSB on or before allotment date, to remove the block on the funds in the bank account specified in the application and to transfer the requisite money to the investment Strategy's account / Bank account of Prism SIF.

In case the amount available in the bank account specified in this application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application.

If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect, incomplete or not matching with the depository records, the application shall be rejected and Prism SIF or Jio BlackRock Asset Management Private Limited or R&TA or SCSBs shall not be liable for losses, if any.

I / We confirm that details provided by me / us are true and correct.

APPLICABLE FOR NRIs/PIOs/ /FPIs INVESTING ON REPATRIATION BASIS ONLY: I/We confirm that I am/we are Non-Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

CONTACT DETAILS OF 1st APPLICANT

Mobile No.	
Email ID	

SIGNATURE OF BANK ACCOUNT HOLDERS

1st Applicant	2nd Applicant	3rd Applicant
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TERMS & CONDITIONS AND INSTRUCTIONS

1. An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes :
 - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");
 - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
2. ASBA Application Form will not be accepted by any of the offices of Prism SIF provided by JioBlackRock or its Registrar & Transfer Agent.
3. From one bank account more than five applications will not be allowed.
4. Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form.
5. To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
6. Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
7. Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked, Bid amount, bank and branch name shall be given in this block.
8. Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
9. In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
10. Demat/Non-Demat Mode:
 1. Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.
 2. The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.
 3. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
11. SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA.
Please visit <https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmId=34> or www.nseindia.com for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches
12. The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to Prism SIF provided by Jio BlackRock.
13. Prism SIF provided by Jio BlackRock or its Registrar, shall not be liable for any negligence or mistake committed by the SCSBs



ACKNOWLEDGEMENT FOR SCSB

Received from 1st Applicant		PAN	
Investment Strategy Name	Prism Hybrid Long-Short Fund		
Depository Name [Please ✓] ☐ National Securities Depository Ltd ☐ Central Depository Services [India] Limited			
NSDL	DP ID	I N	Beneficiary Account No.
CDSL	Beneficiary Account No.		
SCSB ACCOUNT DETAILS: Bank A/c No			
Bank Name & Branch			



ACKNOWLEDGEMENT FOR INVESTOR

Received from Mr. / Ms. / Mrs. / M/s.	
Investment Strategy Name	Prism Hybrid Long-Short Fund
SCSB Account details	
Bank Name	A/c No.
Branch Name	
Total Amount to be Blocked	
₹ in figures	
For Office use only	
SCSB (Name & Code)	SCSB IFSC Code
Syndicate Member Code	SCSB Branch SL No

SCSB, Stamp, Signature
Date & Time of receipt