

	Name	Code
Distributor		
Sub Distributor		
RM		

Mention Distributor details, if investing through a distributor.

1. GENERAL INFORMATION

Name of the Entity

PAN CKYC/KIN*

Status: ☐ Private Ltd. Co. ☐ Public Ltd. Co. ☐ LLP ☐ Partnership Firm ☐ Financial Institution ☐ Bank ☐ Govt. Body ☐ Mutual Fund
☐ Insurance Co. ☐ Provident Fund ☐ Others

Date of Registration / Incorporation City of Incorporation

Country of Incorporation

*KIN (KYC Identification Number) is a unique 14-digit number generated once your KYC records are successfully registered with CERSAI.

Contact Person Name

Designation

Mobile No Office Phone No

Email ID

Alternate Email ID

Registered Office Address

Building / Street / Locality

City State

Pincode Country

Correspondence Address

☐ Same as Registered Office Address

Type of Address ☐ Residential or Business ☐ Residential ☐ Business

Building / Street / Locality

City State

Pincode Country

2. KYC DETAILS

Gross Annual Income (USD) ☐ Below 1 million ☐ 1 to 5 million ☐ 5 to 10 million ☐ 10 million and above

Net Worth (in USD Mn) as of (Not older than 1 year)

Non-Individual Investors involved/ providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services ☐ Gaming / Gambling / Lottery / Casino ☐ Services Money Lending / Pawning ☐ None of the above

ACKNOWLEDGEMENT SLIP - APPLICATION FORM FOR OUTBOUND FUNDS (NON-INDIVIDUAL)

Received, subject to verification and conditions

3. INVESTMENT AND PAYMENT DETAILS

Scheme Name

Scheme Plan ☐ Direct ☐ Regular Investment Amount in figures (in USD)

Investment Amount in words (in USD)

If the investment is made through a bank other than the payout bank, please attach the payment / bank proof and fill in the details below

Attach copy of cheque leaf / Bank Statement / Bank Passbook (Not older than 3 months)

Account No / IBAN

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Resident Foreign Currency (RFC) ☐ Foreign Currency Account (FCA) (GIFT City)
☐ Others (Please Specify)

Name of Bank

Branch Address

SWIFT Code IFSC

City State Postal code

Correspondent Bank / Intermediate Bank & Branch Name

Correspondent Bank/Intermediate Bank SWIFT Code

4. BANK ACCOUNT (PAYOUT) DETAILS (Attach copy of cheque leaf / Bank Statement / Bank Passbook (Not older than 3 months))

Account No / IBAN

Account Type ☐ Savings ☐ Current ☐ NRE ☐ NRO ☐ FCNR ☐ Resident Foreign Currency (RFC) ☐ Foreign Currency Account (FCA) (GIFT City)
☐ Others (Please Specify)

Name of Bank

Branch Address

SWIFT Code IFSC

City State Postal code

Correspondent Bank / Intermediate Bank & Branch Name

Correspondent Bank/Intermediate Bank SWIFT Code

Bank Account details for SWIFT Fund Transfer

	JIOBLACKROCK ADVANTAGE WORLD EQUITY FUND (IFSC)
Beneficiary Bank Account No.	752-043158-511
Account Title	JIOBLK ADVWORLDDEQ FD-COL AC
Currency	USD
SWIFT Code	HSBCINAA
Bank Name	The Hongkong and Shanghai Banking Corporation Limited
Bank Address	Unit No. 202, 2nd Flr, Brigade International Finance Centre (BIFC) Block 14, Zone 1, GIFT SEZ, Gandhinagar 382 355, Gujarat, India
Correspondent / Intermediary Bank	HSBC Bank USA NA
Correspondent / Intermediary Bank SWIFT Code	MRMDUS33
Correspondent / Intermediary Bank Account Number	000027740
Purpose Code	S0001
Purpose	Subscription of Units of JIOBLACKROCK ADVANTAGE WORLD EQUITY FUND (IFSC)
Charge Code	OUR / Remitter Please note – selecting any other option may lead to lower fund transfer and rejection of application
Additional Instruction to Beneficiary / Description	This field is important for reconciliation of Funds. Please enter your Folio number without fail.

5. DECLARATIONS & SIGNATURE(S)

We, through our Authorised Signatory(ies), hereby declare that all the information and particulars given by us in this Application Form and other details and documents submitted are true to the best of our knowledge and belief. We agree to immediately inform you if there is any change in any of the information given in this application or in the Annexure(s) to this application and/or any other details and documents provided post Application Form. We declare and agree that if any of the above statements are found to be incorrect or false or any information or particulars have been suppressed or omitted there from, the Fund Management Entity (**FME**), inter alia, has the right to ask us to withdraw from the Scheme or transfer the Units held by us to a transferee as may be designated by it and/or take necessary steps for breach of representation and warranties. We also agree to furnish such further information as you or the International Financial Services Centers Authority (**IFSCA**) or any other regulatory authority may require from us from time to time in relation to the holdings of Units of the Scheme and We agree that if We fail to give such information, you shall have the right to and/or return funds and not allot Units and report the same to the applicable regulators. We also understand that there is no assurance on the returns of the Scheme.

We hereby agree and accept that the FME, their authorised agents, representatives, distributors settlor, trustee, their employees, service providers, representatives (**the Authorised Parties**) are not liable or responsible for any losses, costs, damages arising out of any actions undertaken or as a result of this investment or activities performed by them on the basis of the information provided by us as also due to our not intimating / delay in intimating such changes. We authorize the FME to disclose, share, remit in any form, mode or manner, all / any of the information provided by us to the Authorised Parties including any of the Indian or foreign governmental or statutory or judicial authorities / agencies including Financial Intelligence unit India (**FIU-IND**) without any obligation of advising us of the same.

We understand that if we are categorized as high risk investors as per the policies / procedures adopted by the FME, additional documents / declarations may be sought, which We shall promptly provide, in absence of which my/our application may be kept on hold.

We hereby declare and confirm that the investment as detailed in the accompanying application form complies with the provisions of the Foreign Exchange Management Act, 1999 (**FEMA Act**), and the relevant rules, regulations, directions, and guidelines issued thereunder by the Reserve Bank of India (**RBI**) and the Government of India, including but not limited to the Foreign Exchange Management (Overseas Investment) Rules, 2022, and the Foreign Exchange Management (Overseas Investment) Regulations, 2022, as may be amended from time to time. We hereby confirm that the UBO has been identified and declared in accordance with all applicable laws and regulations, and we further acknowledge that it is my sole responsibility to ensure the accuracy and completeness of this disclosure. We hereby declare and confirm that the source of funds for the proposed investment is through permissible means under the FEMA Act and does not involve any contravention of the provisions of the FEMA Act. We shall ensure ongoing compliance with the reporting and disclosure requirements as prescribed by the RBI for such overseas investments. We undertake to abide by all applicable provisions of the FEMA Act and to obtain all necessary approvals, wherever applicable, and to report to the RBI/Authorized Dealer as required. We hereby declare and confirm that the proposed investment is within the overall limit as prescribed by the RBI from time to time.

We hereby accord my/our consent to the FME/Scheme for collecting, receiving, possessing, storing, dealing, handling or disclosure of personal data of directors and authorized signatories and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with the FME. We hereby accord my/our consent to the FME for utilizing the same folio number and other relevant information for all future Eligible Schemes. We hereby grant my/our consent to the FME and its associates to send all the communications/reports on all the email addresses specified in the form.

I/We hereby acknowledge, confirm, and consent that where the amount credited to the Fund's/Scheme's designated bank account exceeds the minimum investment requirement, units shall be allotted based on the actual amount credited, irrespective of the amount specified in the application form.

The FME reserves the right to inform the existing distributor/referral agent of any request received from the investors which can directly or indirectly impact the distributors/referral agent.

We hereby accord our consent to Jio BlackRock International AMC (IFSC) Pvt Ltd. for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by us in this Application Form. We have read, understood & agree to the terms and conditions mentioned in the Offer Document of the Schemes offered by the FME as well as the rules and regulations of the IFSCA, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to us from time to time and agree to comply with the same as an Investor and would like to invest in the Schemes. Further, we will be bound by the Schemes' terms and conditions as amended from time to time. We also confirm that the information provided herein is true, correct, and complete to the best of our knowledge and belief.

Authorised Signatory / Signatories

Signature with seal		Signature with seal		Signature with seal	
Name		Name		Name	
Designation		Designation		Designation	
Place		Date	D D M M Y Y Y Y		

6. Declaration of Ultimate Beneficial Ownership (UBO) / Controlling Person(s)

Part I - Category

☐ Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].

Name of the Stock Exchange where it is listed Security ISIN

Name of the listed company (applicable if the Investor is subsidiary/associate)

☐ Unlisted Company ☐ Registered Partnership / LLP ☐ Others (please specify)

Part II – UBO / Controlling Person(s) Details

Does your company/entity have any individual person (s) who holds direct / indirect controlling ownership above the prescribed threshold limit?

☐ Yes ☐ No

If 'YES' - We hereby declare that the following individual person holds directly / indirectly controlling ownership in our entity above the prescribed threshold limit. Details of such individual(s) are given below. **BEN2 form as downloaded from MCA portal is attached as documentary evidence of the UBO information or any other applicable supporting documents like shareholding pattern of the entity and its associates. Further, we hereby consent to submitting the appropriate documentary evidence substantiating this as and when required at FRTA end.**

If 'NO' - declare that no individual person (directly / indirectly) holds controlling ownership in our entity above the prescribed threshold limit. Details of the individual who holds the position of Senior Managing Official (SMO) are provided below.

	UBO – 1 / SMO	UBO 2	UBO 3
Name of the UBO/SMO			
UBO/SMO PAN [For Foreign National, TIN to be provided]			
% of beneficial interest	☐ > 10% controlling interest ☐ > 15% controlling interest ☐ NA (for SMO)	☐ > 10% controlling interest ☐ > 15% controlling interest ☐ NA (for SMO)	☐ > 10% controlling interest ☐ > 15% controlling interest ☐ NA (for SMO)
UBO/SMO Country of Tax Residency			
UBO/SMO Taxpayer Identification Number / Equivalent ID No			
UBO/SMO Identity Type#			
UBO/SMO Place & Country of Birth	Place: Country:	Place: Country:	Place: Country:
UBO/SMO Nationality			
UBO/SMO Date of Birth [dd-mm-yyyy]			
UBO/SMO Mobile			
UBO/SMO Email			
UBO/SMO PEP	☐ Yes - PEP ☐ Yes - Related to PEP ☐ Not a PEP	☐ Yes - PEP ☐ Yes - Related to PEP ☐ Not a PEP	☐ Yes - PEP ☐ Yes - Related to PEP ☐ Not a PEP
UBO/SMO Address [Include city, pincode, state, country]	Address: City: PIN code: State: Country:	Address: City: PIN code: State: Country:	Address: City: PIN code: State: Country:
UBO/SMO Address Type	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office	☐ Residence ☐ Business ☐ Registered Office
UBO/SMO Gender	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others	☐ Male ☐ Female ☐ Others
UBO/SMO Father's Name			
UBO/SMO Occupation	☐ Public Service ☐ Private Service ☐ Business ☐ Others:	☐ Public Service ☐ Private Service ☐ Business ☐ Others:	☐ Public Service ☐ Private Service ☐ Business ☐ Others:
SMO Designation			
UBO/SMO KYC Complied	☐ Yes (Provide CKYC – KIN) ☐ No (Fill the CERSAI Form)	☐ Yes (Provide CKYC – KIN) ☐ No (Fill the CERSAI Form)	☐ Yes (Provide CKYC – KIN) ☐ No (Fill the CERSAI Form)
BEN2 Form or any other relevant supporting documents as applicable*	☐ Attached	☐ Attached	☐ Attached

Passport / Driving license / Any other document issued by the Government, * Documentary proof for UBO

Declaration:

We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, We am/are aware that We may liable for it including any penalty levied by the statutory/legal/regulatory authority. We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and FRTA/other registered intermediaries can make reliance on the same. We hereby authorize you [Service Provider/Administrator/Fund/Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any retail fund, its sponsor, FME, trustees, their employees / Service Provider ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising us of the same. Further, We authorize to share the given information to other IFSCA Registered Intermediaries /or any regulated intermediaries registered with IFSCA to facilitate single submission / update & for other relevant purposes. We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days and undertake to provide any other additional information as may be required at your / Scheme's end or by domestic or overseas regulators/ tax authorities.

Authorised Signatory / Signatories

Signature with seal

Signature with seal

Signature with seal

Name

Name

Name

Designation

Designation

Designation

Place

Date

D D M M Y Y Y Y

7. FATCA & CRS Declaration

Please click the applicable tax resident declaration

1. Is "Entity" a tax resident of any country other than India ☐ Yes ☐ No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)

Sr No	Country	Tax Identification Number	Identification Type (TIN or Other**, please specify)
1.			
2.			
3.			

** In case Tax Identification Number is not available, kindly provide its functional equivalent.

In case TIN or its functional equivalent is not available, please provide Company Identification Number or Global entity Identification Number or GIIN etc

In case the Entity's Country of Incorporation / Tax Residence is U.S. but Entity is not a Specified U.S. Person, mention Entity exemption code here

Part A [to be filled by Financial Institutions or Direct Reporting NFEs]

We are a,

☐ Financial Institution [refer 1 of part C]☐ Direct Reporting NFE [refer 3(vii) of Part C]

GIIN (Global Intermediary Identification Number):

Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below
Name of the sponsoring entity
GIIN not available [tick ✓ any one]:

☐ Applied For ☐ Not obtained - Non-participating FFI☐ Not required to apply for – specify 2 digit sub-category code(Refer 1 A of Part C)

Part B [Fill any one as applicable - to be filled by NFEs other than Direct Reporting NFEs]

1.	Is the entity is a listed company [whose shares are regularly traded on a recognized stock exchange] [refer 2a of Part C]	☐ No ☐ Yes (If Yes, Please specify any one Stock Exchange on which the stock is traded regularly) Name of the Stock Exchange
2.	Is the entity a 'Related Entity' of a listed company [whose shares are regularly traded on a recognized stock exchange] [refer 2b of Part C]	☐ No ☐ Yes (Please specify the name of the listed company and one stock exchange on which stock is traded regularly) Name of the listed company: Nature of Relation: ☐ Subsidiary ☐ Controlled Name of the Stock Exchange:
3.	Is the entity an Active NFE? [refer 2c of Part C]	☐ No ☐ Yes - Nature of business Please specify sub-category of Active NFE [Mention Code - refer 2c of Part C]
4.	If the entity a Passive NFE: [refer 3(ii) of part C]	☐ No ☐ Yes - Nature of business

FATCA - CRS Terms & Conditions

The Central Board of Direct Taxes has notified Rules 238 to 240, as part of the Income- tax Rules, 2026, which require Indian financial institutions such as the Banks/other financial entities to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

Certification

We have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by us on this Form is true, correct and complete. We hereby agree and confirm to inform Jio BlackRock International AMC (IFSC) Pvt Ltd./ Trustees for any modification to this information promptly. We further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.

Authorised Signatory / Signatories

Signature with seal			Signature with seal			Signature with seal		
Name		Name		Name				
Designation		Designation		Designation				
Place		Date	D D M M Y Y Y Y					

Part C FATCA Instructions & Definitions

1. Financial Institution (FI)

The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution: is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where its income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
 - (ii) Individual and collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons;
 - or
 - The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of :

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 (refer point 2c.)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.
- FI not required to apply for GIIN

A. Reason why FI not required to apply for GIIN:

Code	Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	FI with a local client base
10	Non-registering local banks
11	FFI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FFI

2. Non-Financial Entity (NFE) - Entity that is not a financial institution

Following NFEs are excluded from FATCA reporting –

- (a) Publicly traded corporation / listed companies;
- (b) Related Entity of a listed company; and
- (c) Active NFFE

c. Active NFE: (is any one of the following)

Code	Sub-Category
01	Less than 50% of the NFE's gross income for the preceding calendar year or other appropriate reporting period is passive income and < 50% of the assets held by NFE are assets that produce or are held for the production of passive income
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE is a 'non for profit' organization which meets all of the following requirements: • It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; • The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and • The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

3. Other Definition

- (i) **Related Entity** – An entity is a ‘related entity’ of another entity if either entity controls the other entity or the two entities are under common control. For this purpose control includes direct or indirect ownership of more than 50% of the votes and value in an entity.
- (ii) **Passive NFE** – means any NFE that is not (i) an Active NFFE or listed / publicly traded entity or entity related to the listed / publicly traded entity, or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations or (iii) the gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity - (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- (iii) **Passive Income** - portion of gross income that consists of dividends, interest, rents and royalties (other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFFE), income equivalent to interest / amount received from pool of insurance contracts, annuities, excess of gains over losses from the sale or exchange of property / from transactions (including futures, forwards or similar transactions) in any commodities but not including (i) any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation or (ii) active business gains or losses from the sale of commodities but only if substantially all the foreign entity's commodities are property, excess of foreign currency gains over losses, net income from notional principal contracts, amounts received under cash value insurance contracts, amounts earned by insurance company in connection with its reserves for insurance and annuity contracts
- (iv) **Specified US Persons** – Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state [including District of Columbia and United States possession] or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- (v) **Owner documented FFI** – AN FFI meeting the following requirements – (a) FFI is an FFI solely because it is an investment entity; (b) FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; (c) FFI does not maintain a financial account for any non-participating FFI; (d) FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in the circumstances, and (e) The designated withholding agent agrees to report to the IRS (or, in case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any US specified persons and (2) Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a US person, an exempt beneficial owner, or an exempted NFE
- (vi) **Direct Reporting NFE** – A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.
- (vii) **Exemption Code for US Person**

Code	Sub-Category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 581
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan
N	Not Applicable

INSTRUCTIONS FOR FILLING THE FORM AND DOCUMENTATION

- Form should be filled in English.
- In case of person residing in India, supporting documents shall be as specified in the Investor Application Form.
- Please ensure that all the supporting documents are self-attested by the Investor/Authorized Signatory
- Form submitted without proper documents or improperly attested documents is liable to be rejected.
- Form should be filled in legible writing, any cancellation/corrections should be counter signed by the Investor(s).
- Please provide a cancelled personalized cheque or bank statement (not older than 3 months and attested by bank) to facilitate electronic transfers at the time of redemption.
- The name(s) of the Investor/ signatories must be the same as mentioned in the proof of identification provided.
- Please provide the mobile number and Email IDs for effective communication.
- E-mail scanned copies of the completed and duly signed form along with all supporting documents to jblkgiftinvest@camsonline.com. Service provider CAMS will check the forms and confirm if the documents are in order. For the purpose of NAV applicability, time at which scanned copies with complete set of documents received at the server of CAMS shall be considered..
- Please courier the duly signed physical application form along with the required documents to your nearest CAMS office. The list of CAMS service centers is available at the link below: <https://www.camsonline.com>. Alternatively, you may courier the documents to the following address: Computer Age Management Services Ltd. (CAMS), Unit No. 409, BIFC Building, Zone-1, GIFT SEZ, GIFT City, Gandhinagar, Gujarat – 382355.

10. Document Matrix

Document	Company	LLP	Partnership Firm
Resolution / Authorisation to invest	✓	✓	✓
List of Authorised Signatories with Specimen Signature(s)	✓	✓	✓
Certificate of Incorporation	✓	✓	✓
Memorandum and Articles of Association / Partnership Deed	✓	✓	✓
PAN	✓	✓	✓
Copy of Cancelled Cheque / Bank Statement	✓	✓	✓
UBO – PAN & Address Proof	✓	✓	✓
Authorised Signatories – PAN & Address Proof	✓	✓	✓
Names of the relevant persons holding Senior Management Position	✓	✓	✓
Name of all the Partners			✓
Registered office and the principal place of its business if it is different.	✓	✓	✓
Submit CERSAI Form (If CKYC not done & KIN is not available)	✓	✓	✓